

## **NES CONDITIONS FOR THE PROVISION OF GOODS**

**Reviewed January 2022**

# NES CONDITIONS FOR THE PROVISION OF GOODS

National Health Service Scotland (NES)

Conditions of Contract for the Purchase of Goods and Provision of Associated Services

## INDEX

1.	DEFINED TERMS AND INTERPRETATION	1
2.	ORDERING OF GOODS AND DELIVERY	12
3.	TIME	15
4.	CONTRACT PRICE AND PAYMENT	16
5.	FORMS	18
6.	PACKAGING	18
7.	IDENTIFICATION OF GOODS AND TRACEABILITY	20
8.	CONTAINERS AND PALLETS	20
9.	PROPERTY AND RISK	21
10.	TOOLS	21
11.	REJECTION OF GOODS	21
12.	QUALITY	23
13.	HEALTH AND SAFETY	25
14.	TRANSFER AND SUB-CONTRACTING	26
15.	LIABILITY AND INDEMNITY	29
16.	INSURANCE	31
17.	VARIATION OF THE CONTRACT	32
18.	DISPUTE RESOLUTION PROCEDURE	33
19.	ENVIRONMENTAL CONSIDERATIONS	33
20.	CANCELLATION OF ORDERS	34
21.	TERMINATION OF CONTRACT	35
22.	OTHER REMEDIES	38
23.	CONSEQUENCES OF TERMINATION	39
24.	COMMUNICATIONS	40
25.	INTELLECTUAL PROPERTY	40
26.	AUDIT AND ACCOUNTS	40
27.	CONFIDENTIALITY	41
28.	FREEDOM OF INFORMATION	44
29.	DATA PROTECTION	45

<b>30.</b>	<b>THE HUMAN RIGHTS ACT 1998 AND THE ASYLUM AND IMMIGRATION ACT 1996</b>	<b>60</b>
<b>31.</b>	<b>POWER TO AGREE</b>	<b>61</b>
<b>32.</b>	<b>RELATIONSHIP OF THE PARTIES</b>	<b>61</b>
<b>33.</b>	<b>INDUCEMENTS TO PURCHASE AND PROHIBITED ACTS</b>	<b>61</b>
<b>34.</b>	<b>NO PUBLICITY</b>	<b>64</b>
<b>35.</b>	<b>AUTHORITY TO ACT</b>	<b>65</b>
<b>36.</b>	<b>EXECUTION OF ADDITIONAL DOCUMENTS</b>	<b>65</b>
<b>37.</b>	<b>INVALIDITY</b>	<b>65</b>
<b>38.</b>	<b>NO REPRESENTATION</b>	<b>65</b>
<b>39.</b>	<b>NO WAIVER</b>	<b>66</b>
<b>40.</b>	<b>EXPENSES</b>	<b>66</b>
<b>41.</b>	<b>CONTRACTOR WARRANTY RE NO PENDING ACTION</b>	<b>66</b>
<b>42.</b>	<b>SET OFF</b>	<b>67</b>
<b>43.</b>	<b>WAIVER OF CLAIMS AGAINST THE AUTHORITY</b>	<b>67</b>
<b>44.</b>	<b>DUTY TO MITIGATE</b>	<b>67</b>
<b>45.</b>	<b>EQUALITY &amp; DIVERSITY</b>	<b>67</b>
<b>46.</b>	<b>NOTICES</b>	<b>68</b>
<b>47.</b>	<b>SPECIFIC IMPLEMENT</b>	<b>69</b>
<b>48.</b>	<b>FORCE MAJEURE</b>	<b>70</b>
<b>49.</b>	<b>COMMUNITY BENEFITS</b>	<b>71</b>
<b>50.</b>	<b>FAIR WORK PRACTICES</b>	<b>71</b>
<b>51.</b>	<b>ANTI-SLAVERY AND HUMAN TRAFFICKING ETC.</b>	<b>71</b>
<b>52.</b>	<b>COMPLIANCE WITH NATIONAL WHISTLEBLOWING STANDARDS</b>	<b>73</b>
<b>53.</b>	<b>LAW</b>	<b>75</b>
	<b>SCHEDULE: PART 1</b>	<b>76</b>
	PROCESSING INFORMATION	76
	<b>SCHEDULE: PART 2</b>	<b>ERROR! BOOKMARK NOT DEFINED.</b>
	NES ADDITIONAL CONDITIONS FOR THE PROVISION OF GOODS	<b>ERROR! BOOKMARK NOT DEFINED.</b>

# 1. DEFINED TERMS AND INTERPRETATION

1.1 In these terms and conditions the words and expressions below will be interpreted to have the meanings adjacent to them:

<b>“Additional Permitted Purpose”</b>	means, if any, such other permitted purpose for the Processing of the Personal Data which, although relating to the Purpose, is in addition to the Purpose and which is a purpose which the Contractor must implement by Law, as described in Part 1 of the Schedule (Processing Information);
<b>“Appropriate Safeguards”</b>	means a legally compliant mechanism(s) for the transfer of Personal Data to a Third Country in respect of which no adequacy regulation has been made by the Secretary of State, as such mechanism(s) may be permitted under the Data Protection Legislation from time to time;
<b>“Authority”</b>	means the Common Services Agency, a statutory body constituted pursuant to the National Health Service (Scotland) Act 1978 (as amended), acting through its strategic business unit, PCF, whose regional offices are at PCF, Canderside, 2 Swinhill Avenue, Larkhall, ML9 2QX;
<b>“Authority Manager”</b>	means the individual named by the Authority in the Invitation to Tender as the “Manager of the Commodity”;
<b>“Authority’s Confidential Contact”</b>	means the person (or persons) at the Authority providing the role of the confidential contact for the purposes of the National Whistleblowing Standards, as notified by the Authority to the Contractor from time to time;
<b>“Commencement Date”</b>	means the date upon which the Contract shall come into effect, such date being set out in the Letter of Award or, if the Commencement Date is not set out in the Letter of Award, the date the Letter of Award is submitted by the Authority to the Contractor;
<b>“Commissioner”</b>	shall have the meaning given in the UK GDPR;
<b>“Community Benefits”</b>	means any activity, obligations and/or undertakings identified as community benefits or their equivalent in the Specification or otherwise in the Contract;
<b>“Concern”</b>	means a concern which meets the definition of

	a “whistleblowing concern” within the meaning of the National Whistleblowing Standards;
<b>“Confidential Information”</b>	means (a) all information designated as such by either Party in writing and having the necessary quality of confidence; (b) all Patient Identifiable Information; and (c) all information the disclosure of which would or would be likely to prejudice substantially the commercial or operational interests of any person;
<b>“Contract”</b>	means the agreement constituted between the Authority and the Contractor by virtue of and subject to these NES Conditions for the Provision of Goods, the provisions of the Invitation to Tender, the Tender and the Letter of Award;
<b>“Contract Period”</b>	means (subject to earlier termination in accordance with its terms or by operation of law) the duration of the Contract as set out in the Letter of Award or the Invitation to Tender starting on the Commencement Date (as extended (if applicable) pursuant to Clause 2.12);
<b>“Contract Price”</b>	means the monies payable by the Authority to the Contractor for the Goods as set out in the Contract;
<b>“Contractor”</b>	means the economic operator identified as such in the Letter of Award or, as from, the relevant Substitution Date the Replacement Supplier;
<b>“Contractor Personnel”</b>	means any and all directors, officers, employees, agents, consultants and contractors of the Contractor, of any permitted sub-contractor and/or of any Sub-processor engaged in the performance of the obligations imposed on the Contractor pursuant to or under the Contract, including but not limited to the supply of the Goods;
<b>“Controller”</b>	shall have the meaning given in the UK GDPR;
<b>“Data Loss Event”</b>	means any event that results, or may result, in unauthorised access to Personal Data held by the Contractor or any Sub-processor under or in connection with the Contract, and/or actual or potential loss and/or destruction and/or corruption of Personal Data in breach of the Contract, including but not limited to any Personal Data Breach;

<b>“Data Protection Impact Assessment”</b>	means an assessment by the Controller of the impact of the envisaged Processing on the protection of Personal Data;
<b>“Data Protection Legislation”</b>	means (i) the UK GDPR; (ii) the DPA 2018 to the extent that it relates to the Processing of Personal Data and privacy; and (iii) any other Law in force from time to time with regards to the Processing of Personal Data and privacy, which may apply to either Party in respect of its activities under the Contract;
<b>“Data Protection Officer”</b>	means the person designated as such pursuant to Article 37 of the UK GDPR;
<b>“Data Subject”</b>	shall have the meaning given in the UK GDPR;
<b>“Data Subject Request”</b>	means a request made by, or on behalf of, a Data Subject in accordance with access and other rights granted to the Data Subject pursuant to the Data Protection Legislation in respect of their Personal Data;
<b>“Day”</b>	means business day, which is defined as Monday to Friday inclusive and excluding Scottish Bank and Public holidays;
<b>“Direct Losses”</b>	means all damage, losses, indebtedness, claims, actions, cash, expenses (including the cost of legal or professional services and all legal costs), proceedings, demands and charges whether arising under statute, contract or at common law excluding Indirect Losses;
<b>“DPA 2018”</b>	means the Data Protection Act 2018;
<b>“DP Losses”</b>	means all liabilities and amounts, including all: <ul style="list-style-type: none"> <li>a) Direct Losses;</li> <li>b) costs and expenses relating to reconstitution and/or correction of the Personal Data and any and all records comprising the same; and</li> <li>c) to the extent permitted by applicable Law: <ul style="list-style-type: none"> <li>(i) administrative fines, penalties, sanctions, liabilities or other remedies imposed by the Commissioner; and</li> <li>(ii) compensation to a Data Subject ordered by the Commissioner;</li> </ul> </li> </ul>
<b>“Force Majeure Event”</b>	means one or more of the following to the extent that it is not attributable to the Contractor or the Contractor’s staff: war, civil war (whether declared or undeclared), riot or armed conflict; radioactive, chemical or biological

contamination; pressure waves caused by aircraft or other aerial devices travelling at sonic or supersonic speed; acts of terrorism; explosion; fire; flood; extraordinarily severe weather conditions which are both unforeseen and for which precautions are not customarily taken by prudent business organisations so as to avoid or mitigate the impact thereof; industrial action which affects the supply of the Goods, but which is not confined to the workforce of the Contractor or is site specific; pestilence; the actions of governmental authorities to the extent that such actions are implemented either pursuant to emergency powers or otherwise outside the usual course of governmental business; or act of God, or other event which is unforeseen as at the Commencement Date and which is beyond the reasonable control of the Party in question and could not have been avoided or mitigated by the exercise of all reasonable care by that Party and further provided that such event materially affects the ability of the Party seeking to rely upon it to perform its obligations under the Contract;

- “Goods”** means all goods (including Services, if any), materials or articles that the Contractor is required to supply pursuant to the Contract, as set out in the Specification;
- “Head of Procurement”** means the person from time to time appointed by the Authority as head in charge of its procurement activities;
- “Health Board”** means an entity established pursuant to the National Health Service (Scotland) Act 1978 (as amended);
- “Indirect Losses”** means loss of profits, loss of business, loss of business opportunity, loss of business revenue, loss of goodwill or any consequential loss or indirect loss of any nature;
- “Insolvent”** means:
- (a) if the Contractor is an individual, that individual, or where the Contractor is a partnership, any partner(s) in that firm, becomes bankrupt or shall have a receiving order, administration order or interim order made against him, or shall make any composition or scheme of arrangement with or for the benefit of his

creditors, or shall make any conveyance or assignment for the benefit of his creditors, or shall purport to do or appears unable to pay or to have no reasonable prospect of being able to pay, a debt within the meaning of Section 268 of the Insolvency Act 1986 or he shall become apparently insolvent within the meaning of the Bankruptcy (Scotland) Act 2016 (as amended), or any application shall be made under the Bankruptcy (Scotland) Act 2016 or the Insolvency Act 1986 for the time being in place for sequestration of his estate, or a trust deed shall be granted by him for the benefit of his creditors;

- (b) if the Contractor is a company, the passing by the Contractor of a resolution for its winding-up or the making by a court of competent jurisdiction of an order for the winding-up of the Contractor (or for the appointment of a provisional liquidator) or the dissolution of the Contractor, or if a receiver, manager or administrator is appointed, or documents are filed with the court for the appointment of a liquidator, receiver, manager or administrator or notice of intention to appoint a liquidator, receiver, manager or administrator is given by the Contractor or its directors or by a qualifying floating charge holder (as defined in paragraph 14 of Schedule B1 to the Insolvency Act 1986), or circumstances arise which entitle the court or a creditor to appoint a receiver, manager or administrator or which entitle the court to make a winding-up order, or the Contractor is unable to pay its debts within the meaning of Section 123 of the Insolvency Act 1986, or if the Contractor commences negotiations, or enters into any composition, compromise, assignment or arrangement with one or more of its creditors with a view to rescheduling any of its indebtedness (because of actual or anticipated financial difficulties) or a moratorium is declared in respect of any indebtedness of the Contractor or the Contractor commences or threatens to commence a company voluntary arrangement, a scheme of arrangement or a restricting



plan pursuant to Part 26A of the Companies Act 2006 or makes an arrangement with its creditors generally or makes an application to a court of competent jurisdiction for protection from its creditors generally; or

- (c) any event under the law of any other jurisdiction other than Scotland which is analogous to any of the above;

**“Intellectual Property”** means any and all patents, registered and unregistered trade marks, trade and business names, domain names, registered designs, unregistered design rights and other rights in designs utility models, applications for and the right to make applications for any of such rights, know-how, Confidential Information, including rights in any get-up or trade dress, copyrights (including rights in computer software and in websites) and rights in databases, subsisting anywhere in the world, and **“Intellectual Property Rights”** shall be construed accordingly;

**“Invitation to Tender”** means the invitation to tender relating to the Goods issued by the Authority to *inter alia* the Contractor;

**“Joint Controller”** shall have the meaning given in Article 26 of the UK GDPR;

**“Law”** means any legislation and/or common law insofar as applicable to the performance of the Contract or any part thereof including without limitation:

- (a) any subordinate legislation within the meaning of Section 21(1) of the Interpretation Act 1978; and
- (b) any bye-law, regulation, order, regulatory policy, mandatory guidance or code of practice, judgment of a relevant court of law, or directives or requirements with which the Authority and/or the Contractor is bound to comply;

**“Letter of Award”** means the letter issued by the Authority to the Contractor, accepting the Contractor’s Tender, the signed duplicate copy of which is returned to the Authority;

<b>“Location”</b>	means the location or locations (as applicable) for the delivery of the Goods (and performance of any Services comprised in the Goods) as set out in an Order;
<b>“Medical Device Legislation”</b>	means all applicable legislation in force from time to time in the UK relating to the regulation of medical devices which applies to either Party in respect of its activities under the Contract;
<b>“Minimum Requirements”</b>	means those requirements identified as such in Schedule Part 1 (Processing Information);
<b>“National Whistleblowing Standards”</b>	means the National Whistleblowing Standards published by the office of the Independent National Whistleblowing Officer (INWO) and any updates or amendments thereto and any replacement thereof;
<b>“New Contractor”</b>	means any entity which is awarded a contract by the Authority to provide goods similar or identical to the Goods in place of the Contractor (including the Authority in the event of the supply of the Goods being undertaken in-house);
<b>“NES Additional Conditions for the Provision of Goods”</b>	means the additional terms and conditions (if any) contained in Schedule Part 2 (NES Additional Conditions for the Provision of Goods);
<b>“NES Conditions for the Provision of Goods”</b>	means these terms and conditions, including any NES Additional Conditions for the Provision of Goods, in each case as may be varied by the Letter of Award;
<b>“Order”</b>	means each purchase order (in Writing) submitted by the Authority to the Contractor drawing down the quantity of Goods specified therein as part of the Contract;
<b>“Party”</b>	means each of the Contractor and the Authority and <b>“Parties”</b> shall be construed accordingly;
<b>“Patient Identifiable Information”</b>	means information relating to the identity, medical condition or history of any patient or prospective patient of the Authority or any other Health Board and any and all data generated and/or derived therefrom;
<b>“Person”</b>	includes any individual, partnership, firm, trust, body corporate, government, governmental body, authority, agency, unincorporated body of Persons or association and a reference to a Person includes a reference to that Person’s

	successors and permitted assignees;
<b>“Personal Data”</b>	shall have the meaning given in the UK GDPR;
<b>“Personal Data Breach”</b>	shall have the meaning given in the UK GDPR;
<b>“PCF”</b>	means procurement, commissioning and facilities of business unit of the Authority;
<b>“Point of Contact”</b>	means the point of contact representing the Contractor identified as such in the Tender, or such alternative point of contact as notified by the Contractor to the Authority Manager from time to time, in Writing;
<b>“Processing”</b>	shall have the meaning given in the UK GDPR and the terms <b>“Process”</b> and <b>“Processed”</b> shall be construed accordingly;
<b>“Processing Instructions”</b>	shall have the meaning given in Clause 29.4;
<b>“Processor”</b>	shall have the meaning given in the UK GDPR;
<b>“Prohibited Act”</b>	shall have the meaning ascribed to it in Clause 33.1
<b>“Protective Measures”</b>	means appropriate technical and organisational measures which must include the Minimum Requirements and may also include, without limitation: pseudonymising and encrypting Personal Data; ensuring confidentiality, integrity, availability and resilience of systems and services used by the Contractor and, where relevant, by any Sub-processor in connection with the performance of the obligations imposed on the Contractor pursuant to or under the Contract, including but not limited to the supply of the Goods; ensuring that availability of and access to Personal Data can be restored in a timely manner after an incident; and regularly assessing and evaluating the effectiveness of such technical and organisational measures adopted from time to time by the Contractor and, where relevant, by any Sub-processor;
<b>“Purpose”</b>	shall have the meaning given in Clause 29.4;
<b>“Receipt of Order”</b>	means, if personally delivered, at the time of delivery; if posted, at the expiration of forty-eight (48) hours or (in the case of airmail seven (7) Days) after the envelope containing the same was delivered into the custody of the postal authorities; and if sent by electronic mail,

	at the time of transmission;
<b>“Relevant Person”</b>	means any person who is a member of the administrative management or supervisor body of the Contractor or has powers of representation, decision or control in relation to the Contractor;
<b>“Replacement Supplier”</b>	means: <ul style="list-style-type: none"> <li>(a) a supplier which, partially or wholly, takes over or acquires the business or assets of the Contractor or acquires ownership of the Contractor following: <ul style="list-style-type: none"> <li>(i) any corporate restructuring of or involving the Contractor; or</li> <li>(ii) the Contractor becoming insolvent; or</li> </ul> </li> <li>(b) any supplier identified in the Tender (and/ or any clarifications thereto submitted by the Contractor to the Authority) to whom the Contractor anticipates it may wish to effect a Transfer during the Contract Period;</li> </ul>
<b>“Representative”</b>	shall have the meaning given in the UK GDPR;
<b>“Schedule”</b>	means the schedule in two (2) parts annexed hereto;
<b>“Services”</b>	means all services ancillary or otherwise related to the Goods that the Contractor is required to perform pursuant to the Contract, as set out in the Specification;
<b>“Specification”</b>	means the specification of the Goods and any Services set out in the Invitation to Tender and the Contractor’s Tender subject to any specific provision in the Letter of Award;
<b>“Sub-processor”</b>	means any third party appointed to process Personal Data on behalf of the Contractor in connection with the Contract;
<b>“Substitution Date”</b>	shall have the meaning ascribed to it in Clause 14.4;
<b>“Tender”</b>	means the tender submitted to the Authority by Contractor in response to the Invitation to Tender;
<b>“Third Country”</b>	shall have the meaning given in the UK GDPR;
<b>“Transfer”</b>	means novation or transfer in whole or in part of the obligations and benefit of the Contract;

<b>“Transferee”</b>	means any party to whom a Transfer is made;
<b>“UK GDPR”</b>	shall have the meaning given in Section 3(10) of the DPA 2018;
<b>“Writing”</b>	means any communication in writing including by electronic means (excluding text messages) and <b>“Written”</b> shall be construed accordingly; and
<b>“Year”</b>	means during the Contract Period, any twelve (12) month period commencing on the Commencement Date or an anniversary thereof.

- 1.2 In these NES Conditions for the Provision of Goods all references to any statute or statutory provision shall be deemed to include references to any statute or statutory provision which amends, extends, consolidates or replaces the same and shall include any orders, regulations codes of practice, instruments or other subordinate legislation made thereunder and any conditions attaching thereto.
- 1.3 Any headings to Clauses, together with the front cover and the index are for convenience only and shall not affect the meaning of these NES Conditions for the Provision of Goods. Unless the contrary is stated references to Clauses shall mean the Clauses of these NES Conditions for the Provision of Goods.
- 1.4 Unless otherwise expressly defined in these NES Conditions for the Provision of Goods, the words used in these NES Conditions for the Provision of Goods shall bear their natural meaning. The Parties have had equal opportunity to take legal advice and the *contra proferentem* rule shall not apply to the interpretation of these NES Conditions for the Provision of Goods.
- 1.5 Where a term of these NES Conditions for the Provision of Goods provides for a list of items following the word "including" or "includes"

then such list is not to be interpreted as being an exhaustive list. Any such list shall not be treated as excluding any item that might have been included in such list having regard to the context of the contractual term in question. The *ejusdem generis* principle is not to be applied when interpreting these NES Conditions for the Provision of Goods. General words are not to be given a restrictive meaning where they are followed by examples intended to be included within the general words.

- 1.6 In these NES Conditions for the Provision of Goods, words importing any particular gender include all other genders.
- 1.7 In these NES Conditions for the Provision of Goods, words importing the singular only shall include the plural and vice versa.
- 1.8 Subject to the contrary being stated expressly or implied from the context in these NES Conditions for the Provision of Goods, all communication between the Parties shall be in Writing.
- 1.9 All monetary amounts are expressed in pounds sterling but in the event that pounds sterling is replaced as legal tender in the United Kingdom by a different currency then all monetary amounts shall be converted into such other currency at the rate prevailing on the date such other currency first became legal tender in the United Kingdom.
- 1.10 Except where an express provision of these NES Conditions for the Provision of Goods states the contrary, each and every obligation of a Party under the terms and conditions is to be performed at that Party's cost.
- 1.11 Any reference to a Party "procuring" another person to act or omit to act in a certain manner shall mean that the Party so procuring shall be liable

for any default on the part of the person acting or omitting to act in that manner.

1.12 All references to these NES Conditions for the Provision of Goods include (subject to all relevant approvals) a reference to these NES Conditions for the Provision of Goods as amended, supplemented, substituted, novated or assigned from time to time.

1.13 For resolution of a conflict or inconsistency in the Contract, the documents shall rank in the following descending order of importance:

- Order;
- Letter of Award;
- NES Additional Conditions for the Provision of Goods;
- NES Conditions for the Provision of Goods (excluding the NES Additional Conditions for the Provision of Goods);
- Invitation to Tender; and
- Tender.

## **2. ORDERING OF GOODS AND DELIVERY**

2.1 The Authority shall purchase and the Contractor shall supply the Goods in accordance with the Contract and each Order which shall be in Writing, submitted by the Authority to the Point of Contact, and supply of the Goods by the Contractor and acquisition of the Goods by the Authority shall be subject to these NES Conditions for the Provision of Goods which shall govern the Contract between the Authority and the Contractor to the exclusion of any other terms and conditions. The Contractor agrees that it will not in its dealings with the Authority seek to

impose or rely on any other terms and conditions and no other terms and conditions shall be attached to any order or invoice by the Contractor.

- 2.2 Orders shall be submitted by the Authority to the Contractor in Writing detailing the Goods required, specifying the price of the Goods ordered in accordance with and as part of the Contract Price, the Location and the timescale for delivery pursuant to Clause 3.1.
- 2.3 In the absence of Written agreement between the Authority and the Contractor to the contrary, the Goods shall be delivered by the Contractor carriage-paid and in such quantities, in such manner, and at such times and to such places specified by the Authority in an Order.
- 2.4 The Contractor shall deliver the Goods to the Location and in accordance with any delivery instructions in an Order or such other location as agreed by the Parties in Writing. Goods must be accompanied by a clean, legible delivery note in accordance with Clause 5.1.2.
- 2.5 Delivery shall be completed when the Goods have been unloaded at the Location and such delivery has been accepted by a duly authorised agent, employee or Location representative of the Authority. The Authority shall procure that such duly authorised agent, employee or Location representative of the Authority is at the Location in order to accept such delivery. The Contractor shall procure a signature of such duly authorised agent, employee or Location representative of the Authority on the relevant delivery documentation, which shall act as proof of acceptance of the delivery for the purposes of this Clause 2.5.
- 2.6 Unless agreed in advance with the Authority, if the Goods are delivered before the date specified in the Order (or such other date which the Contractor and the Authority have agreed in Writing), the Authority shall



be entitled at its sole discretion to refuse to take delivery or to charge for insurance and storage of the Goods until the contractual date for delivery.

- 2.7 In the event that the Authority specifies next day or short notice deliveries in an Order, and where such delivery timescales are not agreed within the terms of the Contract, the Contractor may charge any reasonable and properly incurred additional costs incurred by the Contractor in respect of the delivery of the said Goods to the Authority.
- 2.8 Any carrier engaged in the carriage and/or delivery of the Goods shall be deemed to be an agent of the Contractor and not the Authority.
- 2.9 Part deliveries may be rejected unless the Authority has previously agreed in Writing to accept such deliveries.
- 2.10 The Contractor is responsible for obtaining all export and import licences for the Goods and shall be responsible for any delays due to such licences not being available when required.
- 2.11 In the case of any Goods supplied from outside the United Kingdom, the Contractor shall ensure that accurate information is provided to the Authority as to the country of origin of the Goods and shall be liable to the Authority for any additional duties or taxes for which the Authority may be accountable should the country of origin prove to be different from that advised by the Contractor.
- 2.12 The Authority may at its entire discretion extend the Contract Period at such times and by such period(s) as are set out in the Invitation to Tender (as amended by the Letter of Award), by providing no less than three (3) months' Written notice to the Contractor.

- 2.13 The Parties acknowledge and agree that the supply of Goods under the Contract is not an exclusive arrangement and that the Authority may purchase goods from any third party that are the same as or comparable to the Goods and the Contractor may supply goods to any third party that are the same as or comparable to the Goods.
- 2.14 The Authority may, at any time during the Contract Period, require the Contractor to deliver up to the Authority any data captured, collated or otherwise acquired by the Contractor in the course of, or derived from, the performance of the Contract (including the supply of the Goods (and performance of the Services (if any) comprised in the Goods)) in the format and on the media reasonably specified by the Authority.

### **3. TIME**

- 3.1 The time(s) of delivery of the Goods shall be stated in the Order or, in the absence of any such statement, shall conform to the Specification (or as otherwise agreed in Writing between the Parties) and if no time for delivery is expressly agreed then delivery (and completion of performance of the services (if any) comprised in the Goods) shall be made within fourteen (14) Days of Receipt of Order.
- 3.2 Where the time(s) of delivery of the Goods has been agreed by the Parties and stated in the Order or otherwise agreed in Writing (and for the avoidance of doubt not where delivery is to be made within fourteen (14) Days of Receipt of Order because no time for delivery has expressly been agreed) then time for delivery shall be of the essence in relation to such delivery and without prejudice to any other right or remedy of the Authority.

- 3.3 The Authority may at its sole discretion, delay for a reasonable period, an agreed time of delivery provided that a minimum of three (3) Days' Written notice is given to the Point of Contact.
- 3.4 Subject always to Clause 48 (Force Majeure), failure by the Contractor to deliver the Goods or any part of them within the time agreed in accordance with Clause 3.1 shall without prejudice to the Authority's other rights and remedies, entitle the Authority (at its option):
- 3.4.1 to withdraw all Goods or such part thereof from the Order provided confirmation is given to the Point of Contact in Writing to this effect;
  - 3.4.2 to release itself from any obligations to accept and pay for such Goods provided confirmation is given to the Point of Contact in Writing to this effect;
  - 3.4.3 to purchase other goods of the same or similar description from the Contractor or any third party; and/or
  - 3.4.4 to recover from the Contractor the amount by which the cost of purchasing other goods exceeds the amount that would have been payable to the Contractor in respect of the Goods replaced by such purchase and all costs incurred by the Authority in purchasing such alternative goods, provided that the Authority uses all reasonable endeavours to mitigate its losses in this respect.

#### **4. CONTRACT PRICE AND PAYMENT**

- 4.1 In consideration of the Contractor's due and proper performance of its obligations under the Contract, the Contractor may charge the Authority the Contract Price in accordance with this Clause 4 (Contract Price and Payment).

- 4.2 The Contract Price shall be net. In the absence of Written agreement by the Parties to the contrary, the Contract Price shall include the cost of packaging, packing materials, addressing, labelling, loading and delivery to the Location, and all appropriate tax (excluding VAT) and duty.
- 4.3 Invoices shall not be rendered by the Contractor until completion of delivery of all of the Goods which are the subject of the Order unless otherwise agreed in Writing. Where the Parties agree delivery by instalments, the Contractor may render an invoice for each delivered instalment.
- 4.4 Invoices shall be sent by the Contractor in accordance with any applicable requirements as set out in the Invitation to Tender or the Letter of Award or otherwise as may be reasonably required by the Authority.
- 4.5 Subject always to Clause 11 (Rejection of Goods), the Authority shall pay the price in each valid invoice to the Contractor (by BACS (Bank Automated Clearing System) if the Authority so chooses), within thirty (30) Days of receipt of the Goods (in accordance with the Contract), or a Contractor's valid invoice (rendered in accordance with this Clause 4 (Contract Price and Payment) and Clause 5.1), whichever is later.
- 4.6 Except where otherwise stated in the Order, the Contract Price is exclusive of VAT that shall be payable, if applicable, by the Authority in addition to such part of the Contract Price as is payable under each valid invoice at the rate prevailing as at the relevant tax invoice date. All invoices provided to the Authority by the Contractor shall show the VAT calculations separately.
- 4.7 The Authority shall not be responsible for the payment of any charges for Goods supplied in excess of the Goods required by the Order.

4.8 No payment of or on account of the Contract Price (or any part thereof) shall constitute any admission by the Authority as to proper performance by the Contractor of its obligations pursuant to the Contract.

4.9 In the event of the Authority breaching Clause 4.5, the Contractor shall be entitled to charge interest on the outstanding amount owed by the Authority in accordance with the Late Payment of Commercial Debts (Interest) Act 1998.

## **5. FORMS**

5.1 Unless otherwise agreed in Writing by the Authority and the Contractor:

5.1.1 an invoice shall be rendered on the Contractor's own invoice form; and

5.1.2 all delivery notes and invoices shall be clearly marked with the Authority's Order number, the name and address of the Authority and the full and accurate description and quantity of the Goods and date of delivery (and performance of any associated Services).

5.2 Subject to the prior written agreement of the Parties, the arrangements set out in Clause 5.1 may be suspended in favour of alternative arrangements (including electronic trading and new logistics processes) at the option of the Authority.

## **6. PACKAGING**

6.1 Where the Goods are imported into the United Kingdom then for the purposes of the Producer Responsibility Obligations (Packaging Waste) Regulations 2007 (as amended) the Contractor shall assume the rolled-up obligations for all the activities performed outside the United Kingdom in relation to the Goods and the packaging which is used for the

containment, protection, handling, delivery and presentation of the Goods in addition to any other obligations the Contractor may have pursuant to the said Regulations.

6.2 The Goods shall be securely packed and marked in a proper manner and in accordance with the Specification and any requirement of the carriers in trade packages of a type normally used by the professional contractors for commercial deliveries of the same or similar goods either in retail or in bulk quantities within the United Kingdom.

6.3 The following details shall be shown on the outside of every package unless otherwise specified in the Order:

6.3.1 a description of the Goods which shall include, without limitation, the weight of the Goods where available and the Authority's Order number;

6.3.2 the quantity of each type of Goods in the package where available;

6.3.3 any special directions for storage;

6.3.4 the expiry date of the contents where available;

6.3.5 the batch number of each type of Goods;

6.3.6 the name of the manufacturer of the Goods and the Contractor;  
and

6.3.7 prominent and adequate warnings (in respect only of all containers of hazardous goods).

6.4 The Contractor shall be familiar with and comply with all conditions regarding packaging and containers and pallets, which are referenced in the Invitation to Tender including "Packaging Specification for Goods Deliveries to NP Logistics National Distribution Centre" as updated from time to time and made available to the Contractor. In the event of a

discrepancy between any of the terms of Clauses 6, 7, 8 or 19 hereof and the provisions of the said document, the provisions of the said document shall prevail.

## **7. IDENTIFICATION OF GOODS AND TRACEABILITY**

- 7.1 All Goods that customarily bear any mark, tab, brand, label, serial number or other device indicating place of origin, inspection by any government or other body or standard of quality must be delivered by the Contractor with all the said marks, tabs, brands, labels, serial numbers or other devices intact.
- 7.2 The Contractor will procure that during the manufacturing process and/or on receipt of Goods (from manufacturers'/contractors' premises) all Goods must be checked and logged.
- 7.3 The Contractor will procure that all information regarding batch codes and/or serial numbers of remaining stocks of goods (which would comprise Goods, if made the subject of an Order) are recorded and retained for a period of no less than one (1) year from the last date of delivery of Goods under such Order to enable rapid checks to be made on such remaining stocks and products to be traced in the event of a series of complaints or product recall.

## **8. CONTAINERS AND PALLETS**

The Contractor shall collect without charge any returnable containers (including pallets) within twenty-one (21) Days of the date of the relevant delivery note unless otherwise instructed in Writing by the Authority. Empty containers not so removed may be returned by the Authority at the Contractor's expense or otherwise disposed of at the Authority's discretion. The Contractor shall credit in full any charged containers upon such collection, return or

disposal.

## **9. PROPERTY AND RISK**

9.1 Risk in the Goods shall pass to the Authority immediately following delivery of the Goods and acceptance thereof in accordance with Clause 2.5.

9.2 Notwithstanding delivery, title in the Goods shall pass from the Contractor to the Authority at the date of payment of the full price for such Goods set out in the Order.

## **10. TOOLS**

Any tools, patterns, materials, drawings, specifications and/or other data provided by the Authority to the Contractor in connection with the Contract will at all times be at the Contractor's risk and remain the property of the Authority and shall be delivered up to the Authority immediately on request and are to be used by the Contractor solely for the purpose of completing the Contract.

## **11. REJECTION OF GOODS**

11.1 Without prejudice to the operation of Clause 11.4, the Goods shall be inspected on behalf of the Authority within a reasonable time after delivery under Clause 2 (Ordering of Goods and Delivery) and may be rejected if found to be defective or inferior in quality to or differing in form or material from the requirements of the Contract, or if they do not comply with any term, whether expressed or implied, of the Contract.

11.2 Without prejudice to the operation of Clause 11.4, the Authority shall notify the Contractor of:

11.2.1 the discovery of any defect within a reasonable time of its discovery and shall give the Contractor reasonable



- opportunities to investigate such defect as long as the Contractor acts in a timely manner; and
- 11.2.2 any shortage or damage caused in transit and found on delivery within fourteen (14) Days of delivery or such other time as agreed by the Parties.
- 11.3 The whole of any delivery may be rejected if a reasonable sample of the Goods taken indiscriminately from that delivery is found not to conform in every material respect to the requirements of the Contract.
- 11.4 The Authority's right of rejection shall continue irrespective of whether the Authority has in law accepted the Goods. In particular, taking delivery, inspection, or use of or making payment for the Goods (or part of them) by the Authority shall not constitute acceptance, waiver or approval and shall be without prejudice to any right or remedy that the Authority may have against the Contractor, provided that the right of rejection shall cease within a reasonable time from the date on which the Authority discovers or might reasonably be expected to discover the defect or other relevant breach of contract.
- 11.5 Goods so rejected after delivery shall be removed by the Contractor at its own expense within fourteen (14) Days from the date of notification of rejection. If the Contractor fails to remove them within such period, the Authority may return the rejected Goods at the Contractor's risk and expense and charge the Contractor for the cost of storage from the date of rejection. For the avoidance of doubt, Goods so rejected shall not be considered received by the Authority, for the purposes of Clause 4.5.
- 11.6 If the Authority so elects, and without prejudice to the Authority's other rights and remedies, the Contractor shall free of charge and as quickly

as possible either repair or replace such of the Goods as have been rejected by the Authority in terms of this Clause 11 (Rejection of Goods).

## **12. QUALITY**

- 12.1 The Goods shall be of first class quality, new, and shall be supplied strictly in accordance with the Specification and/or any sample previously provided to the Authority.
- 12.2 The Contractor warrants to the Authority that the Goods shall conform to all relevant standards, specifications and conditions and all work performed by the Contractor shall be in accordance with best industry standards and practice.
- 12.3 The provisions of this Clause 12 (Quality) shall survive any performance, acceptance or payment pursuant to the Contract or remedial services provided by the Contractor.
- 12.4 The Contractor warrants that all statements and representations made in the Contractor's Tender response for the provision of the Goods and any response to any Single Procurement Document (Scotland) (SPD) submitted by or on behalf of the Contractor in respect of the provision of the Goods, in each case as clarified and/or amended in any subsequent submissions and accepted by the Authority relating to such submissions are true and accurate in all material respects.
- 12.5 The Contractor agrees to assign to the Authority upon request the benefit of any warranty, guarantee or similar right which it has against any third party contractor or manufacturer of the Goods which are the subject of the Contract or any part thereof.
- 12.6 The Goods may be inspected by or on behalf of the Authority either on the Contractor's premises or after delivery. Free access to the

Contractor's premises for this purpose shall be granted to any authorised representative of the Authority, provided a minimum of twenty-four (24) hours prior notification is given to the Contractor of this request.

- 12.7 The Goods shall be fit and sufficient for the purpose for which such goods are ordinarily used and for any particular purpose made known to the Contractor by the Authority and the Authority relies on the skill and judgement of the Contractor in the supply of the Goods (and performance of the Services (if any) comprised in the Goods) and the execution of the Contract.
- 12.8 The Goods shall conform in all respects with all Laws.
- 12.9 The Contractor shall not make any change in the supply chain for the Goods or in any of its sub-contractors identified in the Contract until it has received written approval from the Authority. The Contractor shall notify the Authority Manager in Writing immediately in the event that it proposes to make any change in its supply chain or in its sub-contractors and shall supply the Authority with all necessary information regarding the proposed change in order for the Authority to determine whether or not it is willing to grant approval for such change.
- 12.10 The Contractor shall operate and apply ethical standards (which the Authority acting reasonably considers to be appropriate) to its own operations and shall ensure that these are applied by all parties involved in the Contractor's supply chain.
- 12.11 The Authority (or its nominated representative) shall be entitled at any time to audit the Contractor's supply chain and to inspect any premises at which the Goods are manufactured or stored in whole or in part to establish compliance with Clause 12.10 and the Contractor shall procure

all necessary access rights from its supply chain for the purposes of this Clause 12.11.

12.12 In the event that the Authority does not consider the Contractor is operating and applying appropriate ethical standards in accordance with Clause 12.10 it shall serve Written notice upon the Contractor to that effect. If the Contractor fails to satisfy the Authority, acting reasonably, within sixty (60) days of such notice that it has taken steps necessary to operate and apply appropriate ethical standards in its own operations and ensure that these are applied by all parties involved in the supply chain of the Goods, the Authority may forthwith terminate the Contract by Written notice.

### **13. HEALTH AND SAFETY**

13.1 The Contractor represents and warrants to the Authority that the Contractor has satisfied itself that all necessary tests and examinations have been made or will be made (including all tests and examinations of all applicable Locations) prior to delivery of the Goods to ensure that the Goods are designed and constructed so as to be safe and without risk to the health and safety of persons using the same and that it has made available to the Authority adequate information about the use for which the Goods have been designed and have been tested and about any conditions necessary to ensure that when put to use the Goods will be safe and without risk to health.

13.2 Without prejudice to the generality of Clause 13.1, the Contractor warrants that the sale, supply, importation, manufacture and assembly of such of the Goods as are either medicinal products within the meaning of the Medicines Act 1968 or medical devices within the meaning of the Medical Device Legislation shall comply respectively with the provisions

of the Medicines Acts 1968 and 1971 as from time to time amended or with the provisions of the Medical Device Legislation as from time to time amended, and the provisions of any relevant regulations made under these respective pieces of legislation.

13.3 In the event that the Contractor is in breach of Clauses 13.1 or 13.2 then without prejudice to any other right or remedy of the Authority, the Authority shall be entitled to reject the Goods and the Contractor shall, subject to Clause 15 (Liability and Indemnity), indemnify the Authority against all Direct Losses incurred by the Authority as a result of such breach by the Contractor, including the cost of purchasing alternative goods and all administrative costs incurred by the Authority in inviting and awarding tenders for the supply of such alternative goods.

13.4 The Contractor shall observe, and ensure that all Contractor Personnel which it engages in relation to the Services comprised in the Goods (if any) observe all health and safety rules and regulations and any other security requirements that apply at the Location, and that have been communicated to it or about which, the Contractor ought reasonably to be aware. The Contractor shall not be liable under the Contract if, as a result of such observation, it is in breach of any of its obligations under the Contract. The Authority shall be entitled to refuse any person in breach of such health and safety rules and regulations and other security requirements access to the Location, or any part thereof.

#### **14. TRANSFER AND SUB-CONTRACTING**

14.1 The Contractor shall not assign or Transfer the whole or any part of the Contract without the prior Written consent of the Authority.

- 14.2 The Contractor shall not sub-contract the production or supply of any of the Goods without the prior Written consent of the Authority.
- 14.3 The Authority may agree to Transfer to a Replacement Supplier provided always that:
- 14.3.1 the Authority may request such information relating to the proposed Replacement Supplier as it considers necessary to allow the Authority to consider any request for such Transfer;
- 14.3.2 the Replacement Supplier shall be required to satisfy any qualitative criteria applied by the Authority in connection with award of the Contract to the Contractor; and
- 14.3.3 no substantial or material amendments shall be made to the Contract as a consequence of such Transfer.
- 14.4 In the event that the Authority agrees to such Transfer, it shall notify the Contractor in Writing and such notification shall specify the date from which such Transfer shall take effect (the “**Substitution Date**”). As from the Substitution Date:
- 14.4.1 the Replacement Supplier shall assume all responsibilities, obligations and rights of the Contractor under the Contract; and
- 14.4.2 all references to “**Contractor**” shall be deemed to be to the Replacement Supplier.
- 14.5 Without prejudice to Clause 14.1, in the event that the Contractor sub-contracts the production or supply of any Goods, every act or omission of the sub-contractor shall for the purposes of the Contract be deemed to be the act or omission of the Contractor and the Contractor shall be liable to the Authority as if such act or omission had been committed or omitted by the Contractor itself.

- 14.6 The Authority shall be entitled to assign, novate, contract or otherwise dispose of its rights and obligations under the Contract or any part thereof to any other body (including but not limited to any private sector body) which substantially performs any of the functions that previously had been performed by the Authority.
- 14.7 Without prejudice to Clause 14.6 the Contract shall automatically devolve to any statutory successor of the Authority.
- 14.8 Any change in the legal status of the Authority shall not affect the validity of the Contract. In such circumstances the Contract shall bind and inure to the benefit of any successor body of the Authority.
- 14.9 The Authority shall be entitled to disclose to any body to whom the Contract may be transferred pursuant to the foregoing provisions any Confidential Information of the Contractor which relates to the performance of the Contract by the Contractor. In such circumstances the Authority shall authorise the said transferee to use such Confidential Information only for the purpose relating to the performance of the Contract and for no other purpose and shall take all reasonable steps to ensure that such body accepts an obligation of confidence in terms similar to Clause 27 (Confidentiality).
- 14.10 Where the Contractor enters into a sub-contract for the purpose of performing the Contract, the Contractor shall cause a term to be included in such sub-contract:
- 14.10.1 which requires payment to be made to the sub-contractor within a specified period not exceeding thirty (30) days from receipt of a valid invoice as defined by the sub-contract requirements and provides that, for the purpose of payment alone, where the

Authority has made payment to the Contractor and the sub-contractor's invoice includes Goods in relation to which payment has been made by the Authority then, to the extent that it relates to such Goods, the invoice shall be treated as valid and payment shall be made to the sub-contractor without deduction;

14.10.2 which notifies the sub-contractor that the contract forms part of a larger contract for the benefit of the Authority and that should the sub-contractor have any difficulty in securing the timely payment of an invoice that matter may be referred by the sub-contractor to the Authority Manager; and

14.10.3 in the same terms as set out in this Clause 14.10 (including for the avoidance of doubt this Clause 14.10.3) subject only to modification to refer to the correct designation of the equivalent party as the Contractor and sub-contractor as the case may be.

## **15. LIABILITY AND INDEMNITY**

15.1 The Contractor shall indemnify and hold harmless the Authority against all Direct Losses which the Authority may suffer or incur to the extent such Direct Losses are incurred by the Authority as a result of or in connection with:

15.1.1 any alleged or actual infringement of any third party's Intellectual Property Rights or other rights arising out of the use or supply of the Goods; or

15.1.2 breach of, or negligent performance or failure or delay in performance of, the Contract by the Contractor, including any supply of Goods in breach of these NES Conditions for the Provision of Goods.



15.2 Except in the case of:

15.2.1 the indemnity provided by the Contractor under Clause 15.1.1;  
and

15.2.2 death or personal injury caused by negligence, and fraudulent  
misrepresentation or in other circumstances where liability may  
not be so limited under any applicable law,

in each case in respect of which liability shall be unlimited; and

15.2.3 the indemnity provided by the Contractor under Clause 29.28,  
which shall be subject to the limitation on liability set out in  
Clause 15.4 below,

the liability of either Party under or in connection with the Contract,  
whether arising in contract, delict, negligence, breach of statutory duty  
or otherwise shall (subject to Clause 15.3) not exceed the greater of  
TWO MILLION POUNDS STERLING (£2,000,000) or one hundred and  
fifty percent (150%) of the total Contract Price paid or payable by the  
Authority to the Contractor in respect of Goods supplied or to be supplied  
under the Contract, or where an alternative sum is specified in the  
Invitation to Tender or Letter of Award, the sum so specified.

15.3 If the total Contract Price paid or payable by the Authority to the  
Contractor over the Contract Period is less than or equal to one million  
pounds Sterling (£1,000,000), then the figure of TWO MILLION  
POUNDS STERLING (£2,000,000) in Clause 15.2 above shall be  
replaced with ONE MILLION POUNDS STERLING (£1,000,000).

15.4 The total aggregate liability of the Contractor pursuant to the indemnity  
provided by the Contractor under Clause 29.28 shall (unless expressly  
stated otherwise in the NES Additional Conditions for the Provision of

Goods) be limited to the greater of five million pounds Sterling (£5,000,000) or two hundred percent (200%) of the total Contract Price paid or payable by the Authority to the Contractor in respect of Goods supplied or to be supplied under the Contract.

15.5 The Contract Price of the Goods has been negotiated and agreed on the basis that the Parties may limit their liability to each other as set out in these NES Conditions for the Provision of Goods.

15.6 The provisions of this Clause 15 (Liability and Indemnity) shall survive termination of the Contract, however arising.

15.7 The Contractor acknowledges and agrees that:

15.7.1 the Authority is entering into the Contract on the basis of the Specification and the Specification is accurate and complete in all material respects, and is not misleading; and

15.7.2 if it considers that the Authority is not, or may not, be complying with any of the Authority's obligations, it shall only be entitled to rely on this as relieving the Contractor's performance under the Contract:

(a) to the extent that it restricts or precludes provision of the Goods by the Contractor; and

(b) if the Contractor, promptly after the actual or potential non-compliance has come to its attention, has notified details to the Authority in Writing.

## **16. INSURANCE**

The Contractor shall effect with a reputable insurance company a policy or policies of insurance covering employers' liability, public liability and product

liability, each with a minimum limit of indemnity of FIVE MILLION POUNDS STERLING (£5,000,000) for any one incident or series of related incidents in any one Year, or (if applicable) such alternative insurances and/or limits of cover as have been specified in the Invitation to Tender and/or Letter of Award, or as otherwise may be agreed between the Authority and the Contractor in Writing. The Contractor shall at the request of the Authority produce the relevant policy or policies together with the receipts or other evidence of payment of the latest premium due thereunder.

## **17. VARIATION OF THE CONTRACT**

- 17.1 Any variation of the terms and conditions of the Contract (including these NES Conditions for the Provision of Goods (but excluding any amendments agreed in terms of Clause 29.4 (Processing Instructions) thereof) shall only be effective if agreed between the Authority and the Contractor in Writing executed by duly authorised representatives of the Parties. Once such variations are agreed between the Parties, the Authority hereby agrees that such variations shall automatically apply to any Orders submitted by the Authority to the Contractor, to the extent only that Goods have not yet been delivered pursuant to such Order.
- 17.2 Notwithstanding the foregoing the Contractor shall not unreasonably withhold consent to a proposed variation to the Contract made by the Authority.
- 17.3 The Contractor hereby undertakes to the Authority to work continuously with the Authority to improve and develop the Contractor's performance under the Contract to reflect changing needs and new developments within the National Health Service in Scotland and, where possible, to deliver cost reductions to the Authority in connection with such improvements and developments during the Contract Period.

## **18. DISPUTE RESOLUTION PROCEDURE**

- 18.1 During any dispute, including a dispute as to the validity of the Contract, the Contractor shall continue its performance of the provisions of the Contract (unless and to the extent only that the Authority requests in Writing to the Point of Contact that the Contractor does not do so).
- 18.2 The Parties will use all of their respective reasonable endeavours to resolve such dispute by negotiation. If negotiations fail to resolve such dispute either Party may refer the matter to an independent assessor chosen by mutual agreement, or, failing agreement appointed on the application of either Party by the President of the Law Society of Scotland. The Parties will co-operate with any person appointed as an independent assessor providing him with such information and other assistance as he shall require and will pay his costs, as he shall determine or in the absence of such determination such costs will be shared equally. Neither Party will commence legal proceedings against the other until thirty (30) Days after the independent assessor's intervention in the dispute in question has failed to resolve the dispute.

## **19. ENVIRONMENTAL CONSIDERATIONS**

- 19.1 The Contractor shall comply in all material respects with applicable environmental laws and regulations in force from time to time in relation to the Goods or any other matter which is the subject of the Contract. Where the provisions of any such legislation are implemented by the use of voluntary agreements or codes of practice (as amended from time to time), the Contractor shall comply with such agreements or codes of practice as if they were incorporated into Scots law. Without prejudice to the generality of the foregoing, the Contractor shall:

- 19.1.1 comply with all reasonable stipulations of the Authority aimed at minimising the packaging of the Goods, or any other products supplied by the Contractor pursuant to the Contract;
  - 19.1.2 promptly provide such data as may reasonably be requested by the Authority from time to time regarding the weight and type of packaging according to material type used in relation to all Goods or any other products supplied to the Authority under or pursuant to the Contract;
  - 19.1.3 comply with all obligations imposed on it in relation to the Goods by the Producer Responsibility (Packaging Waste) Regulations 2007 as amended;
  - 19.1.4 label all Goods supplied under the Contract, and the packaging of those Goods, to highlight environmental and safety information as required by applicable UK legislation; and
  - 19.1.5 promptly provide all such information regarding the environmental impact of any Goods supplied or used under the Contract as may reasonably be required by the Authority to permit informed choices by end users.
- 19.2 The Contractor shall meet all reasonable requests by the Authority for information evidencing the Contractor's compliance with the provisions of this Clause 19 (Environmental Considerations).

## **20. CANCELLATION OF ORDERS**

Orders may be cancelled without penalty by the Authority provided such notice as is referred to in the Invitation to Tender is provided by the Authority (or in the absence of any reference therein to such notice period, at any time up to one (1) calendar month) prior to the delivery date of the Goods as described in Clause 3.1 (as may be amended pursuant to Clause 3.3) and such

cancellation shall have immediate effect as from the date of Written notice of cancellation issued by the Authority to the Contractor.

## **21. TERMINATION OF CONTRACT**

21.1 In addition to the Authority's other rights of termination set out in these NES Conditions for the Provision of Goods and without prejudice to its other rights and remedies, the Authority may without penalty to the Authority, terminate the Contract in whole or in part:

21.1.1 immediately on notice to the Contractor in the event that:

- (a) the Contractor becomes Insolvent or otherwise ceases to be capable of supplying the Goods provided always that no Replacement Supplier has been approved by the Authority pursuant to Clause 14.3 in which event the Authority shall not be entitled to terminate the Contract on the grounds of the Contractor's Insolvency; or
- (b) the Contractor is in default of any duty of care or any fiduciary or statutory duty owed to the Authority and/or any patient, employee or agent of the Authority; or

21.1.2 by giving fourteen (14) days' Written notice to that effect to the Contractor in the event that:

- (a) the Contractor has failed to perform any material obligation under the Contract provided that (if capable of remedy) such failure has not been remedied to the Authority's reasonable satisfaction within a period of thirty (30) days following Written notice demanding remedy of

the failure in question being served by the Authority on the Contractor;

- (b) the Contractor has failed (in whole or in part) to perform any obligation of the Contractor owed to the Authority on more than three (3) occasions;
- (c) there is a change of ownership or control of the Contractor which, in the reasonable opinion of the Authority, will have a material impact on the provision of the Goods or the image or reputation of the Authority, provided always that no Replacement Supplier has been approved by the Authority pursuant to Clause 14.3 in which event the Authority shall not be entitled to terminate the Contract on the grounds of a change of ownership or control of the Contractor;
- (d) the Contractor sub-contracts or purports to assign or Transfer the Contract or any part of the Contract in breach of Clause 14 (Transfer and Sub-contracting);
- (e) the Authority considers that procurement of a new contract is required as a result of a substantial modification, or a series of modifications which, taken together, constitute a substantial modification, being, or having been, effected to the Contract;
- (f) the Authority becomes aware that the Contractor and/or any Relevant Person has been convicted of any of the

offences listed in Regulation 58(1) of The Public Contracts (Scotland) Regulations 2015;

- (g) a court of competent jurisdiction makes a declaration that the Contract should not have been awarded to the Contractor in view of a serious infringement of the obligations under The Public Contracts (Scotland) Regulations 2015; or
- (h) proceedings are served on the Authority in connection with or related to:
  - (i) any substitution of the Contractor with a Replacement Supplier or any Transfer or assignment of the Agreement in whole or in part approved by the Authority pursuant to Clause 14 (Transfer and Sub-contracting); and/or
  - (ii) the Contract between the Authority and the Replacement Supplier or the Transferee.

21.2 The Authority shall be entitled to recover from the Contractor the amount of any Direct Losses resulting from termination by the Authority under Clause 21.1 (excluding for this purpose any termination under Clauses 21.1.2(e), 21.1.2(g) or 21.1.2(h)) or any other ground of termination attributable to the default of the Contractor. For the purposes of this Clause **Error! Reference source not found.**, Direct Losses shall include all reasonable costs incurred by the Authority in respect of the time spent by its officers and agents in terminating the Contract and/or in making alternative arrangements for the provision of the Goods.



- 21.3 The Contractor may terminate the Contract by giving one (1) month's Written notice of termination to the Head of Procurement if the Authority has committed a material breach of the Contract and the Contractor has served Written notice on the Authority giving details of the breach and giving the Authority twenty-eight (28) days to correct the breach but the Authority has failed to do so. In the event that the Contractor terminates the Contract pursuant to this Clause 21.3, the Contractor shall be entitled to recover from the Authority the amount of any Direct Losses resulting from termination of the Contract, subject always to compliance with Clause 44 (Duty to Mitigate).
- 21.4 Either Party may terminate the Contract without penalty (save in respect of antecedent breach) in the event that a Force Majeure Event prevails beyond a period of sixty (60) days following notification pursuant to Clause 48.3, by giving a minimum of thirty (30) days' Written notice to the other Party. On the expiry of this notice period, the Contract shall terminate. Such termination shall be without prejudice to the rights of the Parties in respect of any breach of the Contract occurring prior to such termination.

## **22. OTHER REMEDIES**

- 22.1 Without prejudice to any other rights and remedies provided in these NES Conditions for the Provision of Goods, if any Goods are not supplied in accordance with, or the Contractor fails to comply with, any terms of the Contract, the Authority shall be entitled to exercise any one or more of the following rights or remedies:
- 22.1.1 to terminate the Contract in accordance with Clause 21 (Termination of Contract) or any other express right of termination which may otherwise apply (and to recover from the

Contractor any Direct Losses resulting from termination in accordance with Clause 21.2); or

22.1.2 to reject the Goods so supplied and to refuse to accept the supply of any further Goods by the Contractor.

## **23. CONSEQUENCES OF TERMINATION**

- 23.1 In the event of termination of the Contract, the Authority shall make payment to the Contractor of all sums properly due and payable up to the date of termination of the Contract.
- 23.2 To the extent reasonably requested by the Authority, the Contractor will assist the Authority in ensuring a smooth, timely, risk-reduced transition of the activities carried out under the Contract to a New Contractor at the end of the Contract Period, at the Contractor's cost, and shall return to such party as the Authority may nominate, such items relating to the Contract as may have been supplied to the Contractor by or on behalf of the Authority.
- 23.3 Any termination of the Contract (in whole or in part) or expiry will not prejudice the rights, obligations and duties of each Party arising prior to such termination or expiry taking effect.
- 23.4 Any termination of the Contract (in whole or in part) or expiry of the Contract shall not affect either Party's obligations which these NES Conditions for the Provision of Goods provides shall survive the termination or expiry or the continuance of the part or parts not terminated where the Contract is terminated in part only.
- 23.5 In anticipation of expiry or termination of the Contract (for any reason) the Authority may require the Contractor to deliver up to the Authority

any data (including Confidential Information) relevant to the supply of the Goods on an appropriate media.

23.6 Clauses which expressly or by implication survive termination of the Contract shall continue in full force and effect after the expiry or termination of the Contract.

## **24. COMMUNICATIONS**

All written and oral communications, all documents and the labelling and marking of all packages shall be in English.

## **25. INTELLECTUAL PROPERTY**

The Contractor warrants that, except to the extent that the Goods incorporate designs furnished by the Authority, nothing done by the Contractor in the provision of the Goods or performance of the Contract, or use by the Authority of the Goods shall infringe any Person's Intellectual Property Rights.

## **26. AUDIT AND ACCOUNTS**

26.1 The Contractor shall keep proper records in respect of the Contract Price due to it under the Contract for the Contract Period and a minimum of three (3) years thereafter.

26.2 For the purpose of the examination and certification of the Authority's accounts or any examination pursuant to Section 6(1) of the National Audit Act 1983 (or any re-enactment thereof) of the economy, efficiency and effectiveness with which the Authority has used its resources, Audit Scotland, the Accounts Commission, the Auditor General and the Authority or its auditors may examine such documents, information, reports, records and data, whether in human or machine readable form as they may reasonably require which are owned, held or are otherwise

within the control of the Contractor and may require the Contractor to produce such oral or written explanation as they consider necessary. The Contractor acknowledges that it will fully cooperate with any counter fraud policy or investigation, whether carried out by the Counter Fraud and Security Management Service, or any equivalent body, successor or function, at any time. For the avoidance of doubt it is hereby declared that the carrying out of an examination under Section 6(3)(d) of the National Audit Act 1983 or any re-enactment thereof in relation to the Contractor is not a function exercisable under the Contract.

- 26.3 The Contractor shall take all reasonable steps to place similar obligations on its sub-contractors in all sub-contracts entered into in relation to the Contract to ensure access by the Authority and its duly authorised representatives, where appropriate, to the relevant information of such sub-contractors.

## **27. CONFIDENTIALITY**

- 27.1 In respect of all Confidential Information provided by, or on behalf of either Party (the “**Discloser**”) to the other Party (the “**Recipient**”), the Recipient undertakes to the Discloser that it will:

27.1.1 keep that Confidential Information completely and strictly confidential and keep in safe custody all documentation and media recording of the same;

27.1.2 save as expressly permitted in these NES Conditions for the Provision of Goods, not disclose, copy, reproduce, publish or distribute the whole or any part of that Confidential Information to any person unless authorised in Writing by a duly authorised representative of the Discloser;

- 27.1.3 use that Confidential Information only for the performance of the Contract and will not use that Confidential Information for its own benefit or for the benefit of anyone other than the Discloser; and
  - 27.1.4 maintain that Confidential Information as the Discloser's property.
- 27.2 The obligation to maintain the confidentiality of, and the prohibitions and restrictions on use of, the Confidential Information shall not apply to information:
- 27.2.1 which the Discloser confirms in Writing is not required to be treated as Confidential Information;
  - 27.2.2 which is or comes into the public domain otherwise than through any disclosure prohibited by the Contract;
  - 27.2.3 which is received from a third party who lawfully acquired or developed it and who is under no obligation of confidence in relation to its disclosure; or
  - 27.2.4 which is disclosed pursuant to Clause 27.7.
- 27.3 For the purpose of this Clause 27 (Confidentiality), no Confidential Information shall be deemed to be in the public domain merely because such information is embraced by more general information or in the case of a complex body of information, because one or more elements of it are separately available in the public domain.
- 27.4 Where the Authority receives Confidential Information from the Contractor, the Authority may disclose such Confidential Information to, and permit its use by its staff, agents and any third party for any purpose provided for or contemplated by the Contract.

- 27.5 The Authority shall be entitled to disclose any Confidential Information received from the Contractor to its professional advisors to the extent that the Authority considers that they have a reasonable need to receive and consider the same.
- 27.6 Save as otherwise expressly permitted in these NES Conditions for the Provision of Goods, the Contractor shall be permitted to disclose the Confidential Information only to its directors, officers, employees, agents and professional advisors who need to see and know it in connection with supply of the Goods (who are hereafter called the “**Permitted Persons**”) subject to obtaining confidentiality restrictions no less stringent than those set out in this Clause and if required by the Authority, shall procure that such Permitted Persons enter into a confidentiality undertaking in a form approved by the Authority.
- 27.7 The Authority shall be free to disclose the terms of the Contract and any documents connected with supply of the Goods to and within the Scottish Government Health and Social Care Directorates and to other Government departments, agencies and non-departmental public bodies and the Parties agree that the Authority shall be free to use and disclose such information on such terms and in such manner as the Authority sees fit.
- 27.8 The Parties acknowledge that damages may not be an adequate remedy for any breach of this Clause 27 (Confidentiality) by either Party or any of the Contractor's Permitted Persons and the Parties may be entitled to obtain any legal and/or equitable relief, including interdict, in the event of any breach of this Clause 27 (Confidentiality).
- 27.9 Without prejudice to the Parties' other obligations under the Contract, if directed to do so by the Discloser at any time and in any event promptly

following termination or expiry of the Contract, the Recipient shall return to the Discloser or destroy all Confidential Information of the Discloser and shall certify that it does not retain any such Confidential Information, save to the extent that any Confidential Information needs to be retained:

27.9.1 for the purposes of the supply or receipt of the Goods;

27.9.2 in cases where there is a partial termination for the purposes of the performance of the remainder of the Contract;

27.9.3 in order to enforce any of its rights or remedies under the Contract; or

27.9.4 in order to maintain a record of the Confidential Information of the Discloser to enable the Recipient to establish its continuing obligations under this Clause 27 (Confidentiality).

27.10 In the event that either Party fails to comply with this Clause 27 (Confidentiality), the other Party reserves the right to terminate the Contract by notice in writing with immediate effect.

27.11 All obligations set out in this Clause 27 (Confidentiality) to keep confidential all Confidential Information as defined in:

27.11.1 part (b) of the definition of "Confidential Information" shall remain in force in perpetuity notwithstanding the expiry or termination (for any reason) of the Contract; and

27.11.2 part (a) and part (c) of the definition of "Confidential Information" shall remain in force during the Contract Period and for a period of five (5) years thereafter.

## **28. FREEDOM OF INFORMATION**

28.1 Nothing whether expressly provided in these NES Conditions for the Provision of Goods, or otherwise implied, shall preclude the Authority

from making public under the Freedom of Information (Scotland) Act 2002 and/or the Environmental Information (Scotland) Regulations 2004 and/or any codes or regulations applicable from time to time relating to access to public authorities' information ("FOI"), details of any matters relating to the Contract unless (i) such details constitute a trade secret; (ii) the disclosure of such details would or would be likely to prejudice substantially the commercial interests of any person (including but not limited to the Contractor or the Authority); or (iii) such details fall within any other exemption under FOI, provided always that application of any such exemption shall be at the sole discretion of the Authority. The Authority will take all reasonable steps to provide the Contractor with notice of any intended disclosures under FOI prior to making such information public.

28.2 The Contractor shall:

28.2.1 transfer to the Authority any request for information relating to the Contract that it receives as soon as practicable after receipt and, in any event, within five (5) Days of receiving a request for information;

28.2.2 provide the Authority with a copy of any information belonging to the Authority which is in the Contractor's possession or control in the form that the Authority reasonably requires within five (5) Days (or such other period as the Authority may reasonably require) of the request by the Authority; and

28.2.3 provide all such assistance and co-operation as may be reasonably required by the Authority to enable the Authority to comply with its obligations under FOI.

## **29. DATA PROTECTION**



***Controller/Processor and Personal Data***

29.1 Where for the purposes of the Data Protection Legislation:

29.1.1 the Authority is the Controller and the Contractor is the Processor, to the extent that the Parties have these roles in terms of the Data Protection Legislation, this Clause 29 (Data Protection) shall apply with the exception of Clauses 29.26 and 29.27 and Schedule Part 1 (Processing Information) shall only apply in so far as it relates to the Purpose;

29.1.2 the Authority and the Contractor are Joint Controllers, to the extent that the Parties have these roles in terms of the Data Protection Legislation, this Clause 29 (Data Protection) shall apply with the exception of Clauses 29.5, 29.25 and 29.27 and Schedule Part 1 (Processing Information) shall only apply in so far as it relates to the Purpose;

29.1.3 the Contractor is a Controller because it requires to Process Personal Data for the Additional Permitted Purpose, to the extent that in terms of the Data Protection Legislation, the Contractor is a Controller for the Additional Permitted Purpose, this Clause 29 (Data Protection) shall apply, subject to the amendments set out in Clause 29.27, and Schedule Part 1 (Processing Information) shall only apply in so far as it relates to the Additional Permitted Purpose,

and the Parties acknowledge that in connection with the Contractor's performance of its obligations pursuant to or under the Contract, including but not limited to the supply of the Goods and/or performance of the Services, the Contractor may, in terms of the Data Protection Legislation, have more than one of the roles described in this Clause 29.1. This Clause 29.1 describes what provisions of this Clause 29 (Data

Protection) and Schedule Part 1 (Processing Information) apply in relation to each role.

- 29.2 For the avoidance of doubt, references in this Clause 29 (Data Protection) to the term “**Personal Data**” shall only apply to Personal Data Processed in the course of the performance of the obligations imposed on the Contractor pursuant to or under the Contract, including but not limited to the supply of the Goods and/or the performance of any Services and where, and to the extent that, the Contractor is a Controller as described in Clause 29.1.3 above, shall only apply to such of this Personal Data which the Contractor Processes for the Additional Permitted Purpose.

***Compliance with Data Protection Legislation***

- 29.3 The Contractor warrants that it will, and will procure that any and all Sub-processors will, at all times throughout the Contract Period, Process Personal Data in compliance with the Data Protection Legislation.

***Processing Instructions***

- 29.4 The only Processing of Personal Data that the Contractor is authorised to undertake in connection with the performance of the obligations imposed on the Contractor pursuant to or under the Contract, including but not limited to the supply of the Goods and/or the performance of any Services, is listed in this Clause 29 (Data Protection) and in Schedule Part 1 (Processing Information), as the same may be amended from time to time by written agreement between the Parties (together, the “**Processing Instructions**”). In addition, the Contractor warrants that it will, and will procure that any and all Sub-processors will, at all times throughout the Contract Period, only Process the Personal Data for the purposes of the performance of the obligations imposed on the

Contractor pursuant to or under the Contract, including but not limited to the supply of the Goods and/or the performance of any Services (the “**Purpose**”).

- 29.5 The Contractor shall promptly comply with any Written request from the Authority requiring the Contractor to amend, transfer or delete the Personal Data.
- 29.6 The Contractor shall notify the Authority immediately if it considers that any of the Authority’s instructions infringe the Data Protection Legislation and will provide the Authority with a Written explanation of the reasons why it considers any of the Authority’s instructions to be so infringing.

***Assistance to the Authority***

29.7 The Contractor shall, as part of the supply of the Goods and/or the performance of any Services and at no additional cost or expense to the Authority, provide all reasonable assistance to the Authority in ensuring compliance with the Authority’s obligations under the Data Protection Legislation in relation to:

29.7.1 ensuring the security of the Personal Data;

29.7.2 any notifications, communications and remedial action that may be required to be made or taken following any Data Loss Event, including notifications to the Commissioner following a Data Loss Event and communications to affected or potentially affected Data Subjects;

29.7.3 responding to Data Subject Requests within the timescale set out in the Data Protection Legislation;

29.7.4 responding to any other requests, complaints or communications relating to either Party’s obligations under the

Data Protection Legislation;

29.7.5 responding to any request from any third party for disclosure of Personal Data;

29.7.6 any communication from the Commissioner or any other regulatory authority or any consultation by the Authority with the Commissioner or any other regulatory authority, to the extent that such communication or consultation relates to or involves the Processing undertaken by the Contractor and/or any Sub-processor under or in connection with the Contract; and

29.7.7 the preparation of any Data Protection Impact Assessment prior to commencing any new Processing that has been agreed between the Parties pursuant to Clause 29.4. Such assistance may, at the discretion of the Authority, include:

- (a) a systematic description of the envisaged Processing operations and the purpose of the Processing;
- (b) an assessment of the necessity and proportionality of the Processing operations in relation to the performance of the obligations imposed on the Contractor pursuant to or under

the Contract, including but not limited to the supply of the Goods and/or the performance of any Services;

(c) an assessment of the risks to the rights and freedoms of Data Subjects; and

(d) the measures envisaged to address the risks, including safeguards, security measures and mechanisms to ensure the protection of Personal Data.

29.8 At any time throughout the Contract Period, or following the date of termination, at the request of the Authority, the Contractor shall provide to the Authority a copy of all Personal Data held by the Contractor in the format and on the media reasonably specified by the Authority.

***Technical and Organisational Measures***

29.9 The Contractor shall:

29.9.1 Process the Personal Data only in accordance with Clause 29.4, unless the Contractor is required to do otherwise by Law, in which case the provisions of Clause 29.6 shall apply; and

29.9.2 ensure that it has in place Protective Measures, to ensure a level of security appropriate to the risk involved and which the Contractor shall maintain throughout the Contract Period at its cost and expense, and which are appropriate to protect against a Data Loss Event, having taken account of:

- (a) the nature, scope, context and purposes of the Processing;
- (b) the nature of the Personal Data to be protected;
- (c) the harm that might result from a Data Loss Event, including the risks to the rights and freedoms of Data Subjects;
- (d) the state of technological development; and
- (e) the cost of implementing any measures.

***Contractor Personnel***

29.10 The Contractor shall ensure that it takes all reasonable steps to ensure the reliability and integrity of any Contractor Personnel who have access to the Personal Data and ensure that they:

29.10.1 are aware of and comply with the Contractor's duties under the Contract, in particular those obligations set out in this Clause 29 (Data Protection);

29.10.2 are subject to appropriate confidentiality undertakings with the Contractor or any Sub-processor, as the case may be, which confidentiality undertakings require the Contractor Personnel to keep the Personal Data confidential and to only Process the Personal Data for the Purpose;

29.10.3 are informed of the confidential nature of the Personal Data and do not publish, disclose or divulge any of the Personal Data to any third party unless directed in Writing to do so by the Authority or as otherwise permitted by the Contract; and

29.10.4 have undergone adequate training in the use, care, protection and handling of Personal Data and on the Data Protection Legislation insofar as it relates to Processing.

***International Transfers of Personal Data***

29.11 The Contractor shall not transfer Personal Data outside of the United Kingdom without the prior Written consent of the Authority.

29.12 If the Authority gives its Written consent to a transfer of Personal Data outside of the United Kingdom, the Contractor shall ensure that:

29.12.1 the Contractor has Appropriate Safeguards in place in respect of such transfer and, where practicable, the particular Appropriate Safeguards to be used by the Contractor for such transfer shall be subject to the Authority's prior Written approval, which approval shall not be unreasonably withheld or delayed;

29.12.2 the transfer and any Processing of Personal Data following such transfer complies at all times with Clause 29.4; and

29.12.3 the transfer otherwise complies with Data Protection Legislation.

***Notifications required to be given by the Contractor to the Authority***

29.13 The Contractor shall, at its own cost and expense, notify the Authority immediately (and within three (3) Days of receipt of the relevant communication at the latest) if it:

29.13.1 receives a Data Subject Request (or purported Data Subject Request);

29.13.2 receives any other request, complaint or communication relating to either Party's obligations under the Data Protection Legislation;

29.13.3 receives any communication from the Commissioner, or any other regulatory authority in connection with Personal Data Processed under or in connection with the Contract; or

29.13.4 receives a request from any third party for disclosure of Personal Data where compliance with such request is required or permitted by Law,

and the Contractor will provide the Authority with a copy of the relevant Data Subject Request, request, complaint or communication, as the case may be and such further information regarding the same as the Authority may request from time to time.

29.14 Taking into account the nature of the Processing, the Contractor shall provide the Authority with all reasonable assistance in relation to any complaint, communication or request notified to the Authority pursuant to Clause 29.13 (and insofar as possible within the timescales reasonably required by the Authority).

29.15 The Contractor shall, at its own cost and expense:

29.15.1 notify the Authority of any Data Loss Event of which it becomes aware within twenty-four (24) hours of becoming aware of such Data Loss Event; and

29.15.2 provide the Authority, as soon as practicable and wherever possible within twenty-four (24) hours of becoming aware of such Data Loss Event, with such information regarding the Data Loss Event as the Authority may reasonably require, including but not limited to:

(a) the nature of the Data Loss Event, including, where possible the categories and approximate number of Data



Subjects and Personal Data records affected by the Data Loss Event;

- (b) the likely consequences of the Data Loss Event; and
- (c) where the Data Loss Event involves the Contractor and/or any Sub-processor, the measures taken or proposed to be taken by the Contractor and/or any Sub-processor to address the Data Loss Event, including those to mitigate the possible adverse effects of the Data Loss Event.

29.16 If the Contractor cannot provide all of the information set out in Clause 29.15 within the timescale specified, the Contractor shall, within such timescale advise the Authority of the delay and of the reasons for the same and advise the Authority when the Contractor expects to be able to provide the relevant outstanding information, which information may be provided in phases without undue delay, as details become available.

***Records***

29.17 The Contractor shall maintain complete, accurate and up-to-date written records of all Processing carried out under or in connection with the Contract. Such records shall contain the following information:

29.17.1 the name and contact details of the Contractor's Representative (if any) and of the Contractor's Data Protection Officer (if any);

29.17.2 the categories of Processing carried out in connection with the Purpose;

29.17.3 where applicable, details of any transfers of Personal Data pursuant to Clause 29.12, including the identity of the recipient of such transferred Personal Data and the countries to which such Personal Data is transferred, together with details of the

Appropriate Safeguards used; and

29.17.4 a general description of the Protective Measures implemented by the Contractor pursuant to Clause 29.9.

***Use of Sub-processors***

29.18 The Contractor shall not allow any Sub-processor to Process any Personal Data unless the Contractor has:

29.18.1 notified the Authority in Writing of the intended Sub-processor and the Processing activity that the Contractor wishes the Sub-processor to undertake on the Contractor's behalf;

29.18.2 obtained the prior Written consent of the Authority in respect of the use of such Sub-processor in connection with the Processing undertaken pursuant to the Contract;

29.18.3 entered into a binding written agreement with the Sub-processor, which agreement sets out enforceable data protection obligations on the same or similar terms as set out in this Clause 29 (Data Protection) such that they apply to the Sub-processor, in particular such binding written agreement must provide:

(a) sufficient guarantees that the Sub-processor will adopt Protective Measures such that the Processing undertaken by the Sub-processor will meet the requirements of the Data Protection Legislation; and

(b) details of the Processing that is to be undertaken by the Sub-processor, which Processing shall only involve activity

that is set out in Schedule Part 1 (Processing Information);

and

29.18.4 provided the Authority with such other information regarding the Sub-processor as the Authority may reasonably require from time to time.

29.19 The Contractor shall cease using a Sub-processor to undertake any Processing of Personal Data pursuant to or in connection with the Contract immediately upon receipt of a Written request from the Authority requesting that such Sub-processor ceases Processing the Personal Data, in circumstances where the Authority has reasonable grounds for concern about the Sub-processor's ability to carry out the Processing in accordance with the Data Protection Legislation.

29.20 The Contractor shall remain fully liable for all acts or omissions of any Sub-processor.

***Audit Right***

29.21 The Contractor shall, and shall procure that any and all Sub-processors shall, make available to the Authority, at no cost or expense to the Authority, all information necessary to demonstrate the Contractor's compliance with its obligations under this Clause 29 (Data Protection) and the Data Protection Legislation.

29.22 The Contractor shall, and shall procure that any and all Sub-processors shall, allow for and contribute to audits, including inspections, conducted by the Authority or by another auditor mandated by the Authority, for the purpose of reviewing and assessing the Contractor's compliance with its obligations under this Clause 29 (Data Protection) and the Data Protection Legislation, provided that the Authority shall, where possible:

29.22.1 provide the Contractor with reasonable prior notice of such audit or inspection;

29.22.2 ensure that such audit is carried out during normal business hours; and

29.22.3 ensure that each such audit and inspection is carried out so as to cause minimal disruption to the Contractor's business and other customers.

29.23 The Parties agree that they shall bear their own respective costs and expenses incurred in respect of compliance with their obligations under Clause 29.22, unless the audit identifies a breach of the Contractor's obligations under this Clause 29 (Data Protection) and/or the Data Protection Legislation, in which case the Contractor shall reimburse the Authority for all of the Authority's reasonable costs incurred in the course of the audit.

29.24 If an audit identifies that the Contractor has failed to perform its obligations under the Contract in any material manner, the Authority may, at its sole discretion:

29.24.1 treat such failure as a material breach of the Contract; or

29.24.2 agree with the Contractor a remedial plan to resolve such failure, which remedial plan the Contractor shall implement at its sole cost and expense.

***Deletion or Return of Personal Data***

29.25 Within one (1) month following the date of termination of the Contract, or if earlier, the date of the cessation of the supply of Goods and/or the performance of any Services pursuant to or in connection with which the Processing of Personal Data by the Contractor on behalf of the Authority

was undertaken, the Contractor shall, at the Written direction of the Authority, securely delete or securely return to the Authority all affected Personal Data (and any copies of it) and the Contractor shall certify in Writing to the Authority that to the best of the Contractor's knowledge and belief all Personal Data (and any copies of it) have been securely deleted or securely returned to the Authority, unless the Contractor is required by Law to retain the Personal Data. If the Contractor is required by Law to retain the Personal Data, the Contractor shall advise the Authority of such requirement in Writing.

***Additional Considerations for Joint Controllers***

29.26 Where the Parties are Joint Controllers:

29.26.1 Without prejudice to Clauses 29.7 and 29.13:

- (a) the Parties agree that the Authority shall handle all Data Subject Requests and all requests from third parties for disclosure of Personal Data;
- (b) the Parties agree that the Authority shall be responsible for co-ordinating the notifications, communications and remedial action that may be required to be made or taken following any Data Loss Event;
- (c) each Party shall be responsible for responding to communications addressed to that Party from the Commissioner or other regulatory authority and for participating in consultations with the Commissioner or other regulatory authority at their instance relating to the

Processing of any Personal Data but that Party shall keep the other Party informed in relation to same; and

- (d) any Processing Instructions which, in terms of the Data Protection Legislation, are inconsistent with the Contractor's role as Joint Controller with the Authority, shall not apply to the Contractor.

***Controller for Additional Permitted Purposes***

29.27 Where, and to the extent that, the Contractor is a Controller pursuant to Clause 29.1.3:

29.27.1 Clauses 29.5, 29.7, 29.8, 29.14, 29.17 - 29.26 inclusive shall not apply;

29.27.2 Clause 29.4 shall apply but shall be amended such that all words in Clause 29.4 following "for the purposes of the" where they appear in the last sentence shall be deleted and replaced with the words "Additional Permitted Purpose";

29.27.3 in Clause 29.9.2, the words "Protective Measures" shall be deleted and replaced with the words "appropriate technical and organisational measures";

29.27.4 Clause 29.10 shall apply but shall be amended such that:

- (a) in Clause 29.10.2 the words "Additional Permitted" shall be inserted prior to the word "Purpose"; and

- (b) in Clause 29.10.3, the words "directed in Writing to do so by the Authority" shall be deleted and replaced with the words "required or permitted by Law in connection with the Additional Permitted Purpose";

29.27.5 and the Contractor requires to transfer Personal Data outside of

the UK, the Contractor shall advise the Authority in Writing and shall ensure that the transfer complies with the Data Protection Legislation;

29.27.6 and the Contractor receives a Data Subject Request, the Contractor shall action the same.

***Liability***

29.28 Whether the Contractor is a Processor, Joint Controller and/or Controller, the Contractor shall indemnify and keep indemnified and defend at its own expense the Authority from and against any and all DP Losses incurred by the Authority or for which the Authority may become liable arising from or in connection with any failure by the Contractor or any Sub-processor or any of their employees or agents to comply with any of the Contractor's obligations under this Clause 29 (Data Protection).

29.29 The Authority shall indemnify and keep indemnified and defend at its own expense the Contractor from and against any and all DP Losses incurred by the Contractor or for which the Contractor may become liable whether the Contractor is a Processor, Joint Controller and/or Controller arising solely from or in connection with any failure by the Authority or its employees or agents to comply with any of the Authority's obligations under this Clause 29 (Data Protection).

29.30 The provisions of Clauses 29.28 and 29.29 shall not affect the liability of either Party to any Data Subject.

29.31 The provisions of this Clause 29 (Data Protection) shall survive following termination of the Contract for any reason whatsoever.

**30. THE HUMAN RIGHTS ACT 1998 AND THE ASYLUM AND IMMIGRATION ACT 1996**

30.1 The Contractor shall, and shall use reasonable endeavours to procure that its employees or agents and/or sub-contractors shall, at all times, act in a way which is compatible with the Convention rights within the meaning of Section 1 of the Human Rights Act 1998 in the United Kingdom and in any other country from which any part of the performance of the Contract is provided.

30.2 The Contractor shall not and shall procure that its employees, agents and sub-contractors shall not be in breach of the Asylum and Immigration Act 1996.

### **31. POWER TO AGREE**

31.1 The Contractor warrants to the Authority that it has all necessary power, corporate standing and authorisation to enter into and be bound by the terms of the Contract. At all times in connection with the Contract, the Contractor shall be an independent contractor and nothing in the Contract shall create a relationship of agency or partnership or a joint venture as between the Contractor and the Authority and accordingly the Contractor shall not be authorised to bind the Authority.

31.2 The Contractor warrants that it currently has and shall maintain for the Contract Period all and any agreements (including licences) as may be required with any third parties to perform the obligations incumbent upon it under the Contract.

### **32. RELATIONSHIP OF THE PARTIES**

The Contractor shall not incur any liabilities on behalf of the Authority or, make any representations or give any warranty on behalf of the Authority or, enter into any contract or obligation on behalf of the Authority.

### **33. INDUCEMENTS TO PURCHASE AND PROHIBITED ACTS**



33.1 The Contractor shall not offer to the Authority or any of its employees or agents as an agreement collateral to the Contract, any inappropriate advantage. For the avoidance of doubt, the Contractor shall not breach this Clause 33.1 by virtue only of the application of a cash discount strictly in accordance with the Contract Price, or the institution of training of the Authority's employees in accordance with the Specification.

33.2 For the purposes of the Contract "**Prohibited Act**" means:

33.2.1 offering, giving or agreeing to give to the Authority, or any other public body or to any person employed by or on behalf of the Authority, or any other public body any gift or consideration of any kind as an inducement or reward:

(a) for doing or not doing (or for having done or not having done) any act in relation to the obtaining or performance of the Contract or any other agreement with the Authority or any other public body; or

(b) for showing or not showing favour or disfavour to any person in relation to the Contract or any other agreement with the Authority or any other public body;

33.2.2 entering into the Contract or any other agreement with the Authority or any other public body in connection with which commission has been paid or has been agreed to be paid by the Contractor or on its behalf, or to its knowledge, unless before the relevant agreement is entered into particulars of any such commission and of the terms and conditions of any such agreement for the payment of such commission have been disclosed in writing to the Authority; or

33.2.3 committing any offence:

- (a) under the Bribery Act 2010;
- (b) under any Law creating offences in respect of fraudulent acts; or
- (c) at common law, in respect of fraudulent acts in relation to the Contract or any other agreement with the Authority or any other public body; or
- (d) defrauding or attempting to defraud or conspiring to defraud the Authority or any other public body.

33.3 The Contractor warrants that in entering into the Contract it has not committed any Prohibited Act.

33.4 If the Contractor (or anyone employed by or acting on behalf of it) commits any Prohibited Act, then the Authority shall be entitled to act in accordance with Clauses 33.5 to 33.6 below.

33.5 If a Prohibited Act is committed by the Contractor or by an employee not acting independently of the Contractor, then the Authority may terminate the Contract with immediate effect by giving Written notice to the Contractor, and no compensation or payment shall be due to the Contractor in respect of such termination.

33.6 If the Prohibited Act is committed by an employee of the Contractor or a sub-contractor acting independently of the Contractor, then the Authority may give Written notice to the Contractor of termination and the Contract will terminate unless, within twenty (20) Days of receipt of such notice, the Contractor terminates the employee's employment or the sub-subcontract (as the case may be) and (if necessary) procures an alternative sub-contractor acceptable to the Authority.

- 33.7 Any notice of termination under this Clause 33 (Inducements to Purchase and Prohibited Acts) shall specify:
- 33.7.1 the nature of the Prohibited Act;
  - 33.7.2 the identity of the party whom the Authority believes has committed the Prohibited Act; and
  - 33.7.3 the date on which the Contract will terminate in accordance with the applicable provisions of this Clause 33 (Inducements to Purchase and Prohibited Acts).
- 33.8 Without prejudice to its other rights or remedies under this Clause 33 (Inducements to Purchase and Prohibited Acts), the Authority shall be entitled to recover from the Contractor:
- 33.8.1 the amount or value of any such gift, consideration or commission; and
  - 33.8.2 any other loss sustained in consequence of any breach of this Clause 33 (Inducements to Purchase and Prohibited Acts).
- 33.9 Nothing contained in this Clause 33 (Inducements to Purchase and Prohibited Acts) shall prevent the Contractor from paying any proper commission or bonus to its employees within the agreed terms of their employment.
- 33.10 The Contractor shall notify the Authority on the occurrence (giving details) of any Prohibited Act promptly on the Contractor becoming aware of its occurrence.

#### **34. NO PUBLICITY**

Save as required by law and/or the requirements of any relevant stock exchange, no publicity shall be made by either Party relating to any matter in connection with the Contract without the prior written consent of the other

Party.

**35. AUTHORITY TO ACT**

Any decision, act or thing that the Authority is required or authorised to take or do under the Contract (including submission of Orders) may be taken or done by any person authorised, either generally or specifically, by the Authority to take or do that decision, act or thing, provided that upon receipt of a Written request the Authority shall inform the Contractor of the name of any person so authorised.

**36. EXECUTION OF ADDITIONAL DOCUMENTS**

The Contractor shall from time to time upon the request of the Authority, execute any additional documents and do any other acts or things which may reasonably be required to implement the provisions of the Contract.

**37. INVALIDITY**

Any provision of the Contract that is held to be invalid, illegal or unenforceable in any jurisdiction shall be ineffective to the extent of such invalidity or unenforceability without invalidating or rendering unenforceable the remaining provisions hereof and any such invalidity or unenforceability in any jurisdiction shall not invalidate or render unenforceable such provisions in any other jurisdiction. In the event of a holding of invalidity so fundamental as to prevent the accomplishment of the purposes of the Contract, the Parties shall commence good faith negotiations to remedy such invalidity.

**38. NO REPRESENTATION**

The Contractor acknowledges and agrees that it has not relied on any representation, warranty or undertaking (whether written or oral) in relation to the Goods and therefore irrevocably and unconditionally waives any rights it

may have to claim damages against the Authority for any misrepresentation (whether made carelessly or not) or for breach of any warranty unless the representation relied upon is set out in the Contract or unless such representation was made fraudulently.

**39. NO WAIVER**

39.1 The failure by the Authority or the Contractor to insist upon the strict performance of any provision, term or condition of the Contract or to exercise any right or remedy consequent upon the breach thereof shall not constitute a waiver of that Party's rights or remedies in respect of any such breach by the other Party or any subsequent breach of such provision, term or condition;

39.2 No waiver of any of the provisions of the Contract shall be effective unless it is expressly stated to be a waiver and notified to the other Party in Writing in accordance with the provisions of Clause 46 (Notices).

**40. EXPENSES**

Each Party shall bear its own expenses in relation to the preparation, execution and implementation of the Contract including all costs, legal fees and other expenses so incurred.

**41. CONTRACTOR WARRANTY RE NO PENDING ACTION**

The Contractor warrants represents and undertakes to the Authority that there are no pending or threatened actions or proceedings before any court or administrative agency which would materially adversely affect the financial condition, business or operations of the Contractor and that there are no material contracts existing to which the Contractor is a party which prevent it from entering into and performing the Contract; and that the Contractor has satisfied itself as to the nature and extent of the risks assumed by it under the

Contract and gathered all information necessary to perform its obligations under the Contract and all other obligations assumed by it.

**42. SET OFF**

Wherever under the Contract any sum of money is recoverable from or payable by the Contractor, that sum may be deducted from any sum then due, or which at any later time may become due, to the Contractor under the Contract or under any other agreement or contract with the Authority.

**43. WAIVER OF CLAIMS AGAINST THE AUTHORITY**

(Save in the case of fraud) no statements made by or on behalf of the Authority at any time before, during or after the competition leading to conclusion of the Contract shall add to or vary the Contract or be of any force or effect unless any such pre-contractual statements are expressly set out in the Contract. The Contractor waives any right it may have to make any claim whatsoever in connection with any non-fraudulent pre-contractual statements made by or on behalf of the Authority. This waiver shall be unconditional and irrevocable, but it is expressly agreed that it shall not exclude any liability of the Authority for pre-contractual statements made fraudulently.

**44. DUTY TO MITIGATE**

Each Party shall, at all times, take reasonable steps to minimise and mitigate any loss for which that Party is entitled to bring a claim against the other Party pursuant to the Contract.

**45. EQUALITY & DIVERSITY**

45.1 The Contractor shall not discriminate unlawfully within the meaning and scope of any Law relating to discrimination (whether in relation to race, gender, disability, religion or otherwise) in employment or performance

of the Contract. The Contractor shall take all reasonable steps to ensure the observance of this Clause by all staff and by all contractors and sub-contractors of the Contractor and the Contractor shall ensure that its policies and practices comply with the requirements of such Laws, including without limitation, the National Minimum Wage Act 1998, the Equality Act 2006, the Equality Act 2010 and the Pensions Act 1995 (the “**Anti-Discrimination Legislation**”).

45.2 The Contractor shall notify the Authority immediately of any investigation of or proceedings against the Contractor under the Anti-Discrimination Legislation and shall cooperate fully and promptly with any requests of the person or body conducting such investigation or proceedings, including allowing access to any documents or data required, attending any meetings and providing any information requested.

45.3 The Contractor shall indemnify the Authority against all costs, claims, charges, demands, liabilities, damages, losses and expenses incurred or suffered by the Authority arising out of or in connection with any investigation conducted or any proceedings brought under the Anti-Discrimination Legislation due directly or indirectly to any act or omission by the Contractor, its agents, employees or sub-contractors.

45.4 The Contractor shall impose on any sub-contractor obligations substantially similar to those imposed on the Contractor by this Clause 45 (Equality & Diversity).

## **46. NOTICES**

46.1 Any notice to be given under the Contract shall either be delivered personally, sent by first class recorded delivery post (air-mail if overseas) or electronic mail. Except as otherwise provided in these NES

Conditions for the Provision of Goods, the address for service shall be the registered or principal office of the recipient or such other address for receipt of notices as either Party may previously have notified to the other Party in Writing. A notice shall be deemed to have been served:

46.1.1 if personally delivered, at the time of delivery;

46.1.2 if posted, at the expiration of forty-eight (48) hours or (in the case of air-mail seven (7) Days) after the envelope containing the same was delivered into the custody of the postal authorities; and

46.1.3 if sent by electronic mail, at the time of the transmission.

46.2 In proving such service, it shall be sufficient to prove that personal delivery was made, or that the envelope containing such notice was properly addressed and delivered into the custody of the postal authorities as prepaid first class, recorded delivery or air-mail letter (as appropriate), or in case of electronic mail, that the email was transmitted, including when it was sent and to what address.

46.2 All notices deliverable to the Contractor shall be addressed to the Point of Contact.

46.3 This Clause 46 (Notices) does not apply to the service of any proceedings or other documents in any legal action or, where applicable, any method of dispute resolution.

#### **47. SPECIFIC IMPLEMENT**

The Parties acknowledge and agree that in the event of a material failure on the part of the Contractor in performance of its obligations under the Contract, the loss or damage incurred by the Authority by reason of such material failure will be such that damages may not be an adequate remedy. Accordingly, the



Authority shall have the right to specific performance of the Contractor's obligations under the Contract. Such remedy shall be in addition to and not in lieu or limitation of other remedies provided to the Authority hereunder or otherwise at law or in equity.

**48. FORCE MAJEURE**

48.1 Provided that it has complied with the provisions of Clause 48.3, neither Party shall be in breach of the Contract nor liable for any failure or delay in performance of any obligations under the Contract arising from a Force Majeure Event.

48.2 The corresponding obligations of the other Party will be suspended to the same extent as those of the first Party first affected by the Force Majeure Event.

48.3 Whichever Party is subject to a Force Majeure Event shall not be in breach of the Contract provided that:

48.3.1 it promptly notifies the other Party in Writing of the nature and extent of the Force Majeure Event causing its failure or delay in performance, such notice to include details of the Force Majeure Event, including evidence of its effect on the obligations of the Party affected by the Force Majeure Event and any action proposed to mitigate its effect;

48.3.2 it could not have avoided the effect of the Force Majeure Event by taking precautions which, having regard to all the matters known to it before the Force Majeure Event, it ought reasonably to have taken, but did not; and

48.3.3 it has used all reasonable endeavours to mitigate the effect of the Force Majeure Event, to carry out its obligations under the

Contract in any way that is reasonably practicable and to resume the performance of its obligations as soon as reasonably possible.

48.4 Failure by the Contractor to perform any of the obligations under the Contract by virtue of a Force Majeure Event shall, without prejudice to the Authority's other rights and remedies, entitle the Authority (at its option):

48.4.1 to withdraw such Goods from the Order provided confirmation is given in Writing to this effect;

48.4.2 to release itself from any obligations to accept and pay for any Goods delivered late, as a direct result of a Force Majeure Event, provided confirmation is given in Writing to this effect; and

48.4.3 to purchase other goods of the same or similar description from any other contractor or any third party.

#### **49. COMMUNITY BENEFITS**

The Contractor shall implement and perform any Community Benefits to the extent set out in the Specification or otherwise detailed in the Contract.

#### **50. FAIR WORK PRACTICES**

The Contractor shall implement and commit to any fair work practices for workers (including any agency or sub-contractor workers) engaged in the delivery of the Contract to the extent set out in the Specification or otherwise in the Contract.

#### **51. ANTI-SLAVERY AND HUMAN TRAFFICKING ETC.**

- 51.1 In performing its obligations under the Contract (including the supply of the Goods (and performance of the Services (if any) comprised in the Goods)), the Contractor shall:
- 51.1.1 comply with all applicable anti-slavery and human trafficking laws, statutes, regulations and codes from time to time in force including, but not limited to, the Modern Slavery Act 2015;
  - 51.1.2 include in any contracts with its direct subcontractors and suppliers, provisions which are at least as onerous as those set out in this Clause 51 (Anti-Slavery and Human Trafficking Etc.);
  - 51.1.3 implement due diligence procedures for its own suppliers, subcontractors and other participants in its supply chains, to ensure that there is no slavery or human trafficking in its supply chains; and
  - 51.1.4 keep and maintain accurate and up to date records to trace the supply chain of all Goods and Services provided to the Authority in connection with the Contract showing all subcontractors and suppliers engaged in connection with the Contract and the steps the Contractor has taken to comply with this Clause 51 (Anti-Slavery and Human Trafficking Etc.), and permit the Authority and its third party representatives to inspect those records as required.
- 51.2 The Contractor represents and warrants that neither the Contractor, nor any of its officers, employees or other persons associated with it, having made reasonable enquiries, has been or is the subject of any investigation, inquiry or enforcement proceedings by any governmental,

administrative or regulatory body regarding any offence or alleged offence of or in connection with slavery and human trafficking.

51.3 The Contractor shall promptly notify the Authority of any breach of this Clause 51 (Anti-Slavery and Human Trafficking Etc.).

51.4 The Authority may terminate the Contract immediately by giving written notice to that effect to the Contractor if the Contractor is in breach of this Clause 51 (Anti-Slavery and Human Trafficking Etc.).

## **52. COMPLIANCE WITH NATIONAL WHISTLEBLOWING STANDARDS**

52.1 The provisions of this Clause 52 (Compliance with National Whistleblowing Standards) shall apply where it has been identified in the Invitation to Tender that compliance with the requirements of the National Whistleblowing Standards will be required.

52.2 The Contractor undertakes to the Authority that it will maintain in place throughout the Contract Period appropriate policies and procedures to enable its staff members (including for this purpose any staff, students, contractors, volunteers and any others who are working in any capacity for the Contractor in the provision of the Goods ("**Staff**")) to access a whistleblowing procedure that meets the requirements of the National Whistleblowing Standards.

52.3 The Contractor shall provide a copy of the policies and procedures maintained by the Contractor pursuant to Clause 52.2 to the Authority on request and shall provide the Authority with any such additional

information with regard to the Contractor's compliance with Clause 52.2 as the Authority may reasonably require.

52.4 Without prejudice to the generality of the obligation under Clause 52.2, the Contractor shall:

52.4.1 ensure arrangements are in place to encourage and support Staff who raise a Concern;

52.4.2 provide clear information about who Staff can raise Concerns with, both within the Contractor's organisation and externally;

52.4.3 ensure that its policies and procedures permit and encourage Staff to contact the Authority's Confidential Contact in the event that a Staff member does not feel able to raise a Concern within the Contractor's organisation; and

52.4.4 record all Concerns raised with the Contractor or its confidential contact, monitor those Concerns and report to the Authority in accordance with Clauses 52.5 and 52.6 below.

52.5 The Contractor shall provide quarterly reports to the Authority regarding any Concerns raised during the previous quarter. In the event that no Concerns have been raised during the previous quarter, the Contractor shall not be obliged to submit a quarterly report.

52.6 The Contractor shall provide annual reports to the Authority regarding any Concerns raised during the previous year. In the event that no Concerns have been raised during the previous year, the Contractor shall report accordingly.

52.7 The Contractor shall co-operate with the Authority with regard to any review or follow up investigation conducted by the Authority on the basis of the reports issued by the Contractor pursuant to Clauses 52.5 and/or

52.6. The Contractor shall provide the Authority with any such additional information as the Authority may reasonably require in this regard and shall co-operate and provide assistance to the Authority (and any investigator appointed by the Authority) with regard to any investigation into any Concerns identified in connection with the provision of the Goods.

52.8 The Contractor shall co-operate with and provide such information and assistance to the Authority as the Authority may reasonably require to enable the Authority to record and review information in relation to any Concerns raised about its services in accordance with the Authority's obligations under the National Whistleblowing Standards. This includes, but is not limited to, provision of any data based on the key performance indicators referred to in Paragraph 13 of Part 5 of the National Whistleblowing Standards.

52.9 Where any investigation within the Contractor's organisation into any Concern in connection with the provision of the Goods is not possible, due to actual or potential conflict of interest, the Contractor must discuss the Concern with the Authority and work with the Authority to investigate the issue.

### **53. LAW**

The Contract shall be governed and construed in accordance with Scots law and both Parties hereby irrevocably submit to the exclusive jurisdiction of the Scottish Courts.

## SCHEDULE: PART 1

### PROCESSING INFORMATION

1. The Contractor shall comply with any further Written instructions with respect to Processing issued by the Authority.
2. Any such further instructions shall be incorporated into this Schedule Part 1.
3. This Schedule Part 1 must be read in conjunction with Clause 29.26.1(d).

Description	Details relevant to the Purpose	Details relevant to the Additional Permitted Purpose
Subject matter of the Processing	The subject matter of the Processing is as detailed in the Specification.	The subject matter of the Processing is compliance with the Law.
Duration of the Processing	The Processing activities will be undertaken throughout the entire Contract Period.	The Processing activities will be undertaken as long as required for compliance with the Law.
Nature and purpose of the Processing	The Purpose of the Processing is the performance of the obligations imposed on the Contractor pursuant to or under the Contract, including, but not limited to, supply of the Goods and/or performance of the Services, as detailed in the Specification.	As per definition of “Additional Permitted Purpose”.
Type of Personal Data	The types of Personal Data Processed under or in connection with the Contract are as detailed in the Specification.	The types of Personal Data Processed will be as required for compliance with the Law.
Categories of Data Subject	The categories of Personal Data Processed under or in connection with the Contract are as detailed in the Specification.	The categories of Personal Data Processed will be as required for compliance with the Law.
Plan for return and destruction of the data once the Processing is complete UNLESS requirement under union or member state law to preserve	The plan for the return and destruction of the Personal Data once the Processing is complete is as detailed in Clause 29.25.	N/A

that type of data		
Minimum Requirements	All technical and organisation measures with which the Contractor must comply are as detailed in the Specification.	N/A
Additional Information	No Sub-processors have been approved in connection with the Contract.  No transfers outside the United Kingdom have been approved in connection with the Contract.	N/A